



US008309060B2

(12) **United States Patent**
Bartholomaus et al.(10) **Patent No.:** **US 8,309,060 B2**
(45) **Date of Patent:** ***Nov. 13, 2012**(54) **ABUSE-PROOFED DOSAGE FORM**(75) Inventors: **Johannes Bartholomaus**, Aachen (DE);
Heinrich Kugelmann, Aachen (DE);
Elisabeth Arkenau-Marić, Köln (DE)(73) Assignee: **Grunenthal GmbH**, Aachen (DE)(*) Notice: Subject to any disclaimer, the term of this
patent is extended or adjusted under 35
U.S.C. 154(b) by 0 days.This patent is subject to a terminal dis-
claimer.(21) Appl. No.: **13/346,257**(22) Filed: **Jan. 9, 2012**(65) **Prior Publication Data**

US 2012/0107250 A1 May 3, 2012

Related U.S. Application Data(62) Division of application No. 10/718,112, filed on Nov.
20, 2003, now Pat. No. 8,114,383.(30) **Foreign Application Priority Data**

Aug. 6, 2003 (DE) 103 36 400

(51) **Int. Cl.**
A61K 49/00 (2006.01)(52) **U.S. Cl.** **424/10.1; 424/10.4**(58) **Field of Classification Search** **424/10.1**
See application file for complete search history.(56) **References Cited****U.S. PATENT DOCUMENTS**

3,806,603 A 4/1974 Gaunt et al.
 3,865,108 A 2/1975 Hartop
 3,966,747 A 6/1976 Monkovic et al.
 3,980,766 A 9/1976 Shaw et al.
 4,002,173 A 1/1977 Manning et al.
 4,014,965 A 3/1977 Stube et al.
 4,070,494 A 1/1978 Hoffmeister et al.
 4,070,497 A 1/1978 Wismer et al.
 4,175,119 A 11/1979 Porter
 4,200,704 A 4/1980 Stanley et al.
 4,207,893 A 6/1980 Michaels
 4,262,017 A 4/1981 Kuipers
 4,343,789 A 8/1982 Kawata et al.
 4,353,887 A 10/1982 Hess
 4,404,183 A 9/1983 Kawata et al.
 4,427,681 A 1/1984 Munshi et al.
 4,462,941 A 7/1984 Lee et al.
 4,603,143 A 7/1986 Schmidt
 4,612,008 A 9/1986 Wong et al.
 4,629,621 A 12/1986 Snipes
 4,690,822 A 9/1987 Uemura
 4,713,243 A 12/1987 Schiraldi et al.
 4,744,976 A 5/1988 Snipes et al.
 4,764,378 A 8/1988 Keitn et al.
 4,765,989 A 8/1988 Wong et al.
 4,774,074 A 9/1988 Snipes
 4,774,092 A 9/1988 Hamilton
 4,783,337 A 11/1988 Wong et al.
 4,806,337 A 2/1989 Snipes et al.
 RE33,093 E 10/1989 Schiraldi et al.
 4,880,585 A 11/1989 Klimesch et al.
 4,892,778 A 1/1990 Theeuwes et al.

4,892,889 A 1/1990 Kirk
 4,940,556 A 7/1990 MacFarlane et al.
 4,957,668 A 9/1990 Placard
 4,957,681 A 9/1990 Klimesch et al.
 4,960,814 A 10/1990 Wu et al.
 4,992,278 A 2/1991 Khanna
 4,992,279 A 2/1991 Palmer et al.
 5,004,601 A 4/1991 Snipes
 5,051,261 A 9/1991 McGinty
 5,139,790 A 8/1992 Snipes
 5,169,645 A 12/1992 Shukla et al.
 5,198,226 A 3/1993 MacFarlane et al.
 5,200,197 A 4/1993 Wright et al.
 5,211,892 A 5/1993 Gueret
 5,273,758 A 12/1993 Royce
 5,350,741 A 9/1994 Takada
 5,378,462 A 1/1995 Boedecker et al.
 5,427,798 A 6/1995 Ludwig et al.
 RE34,990 E 7/1995 Khanna et al.
 5,458,887 A 10/1995 Chen et al.
 5,460,826 A 10/1995 Merrill et al.
 5,508,042 A 4/1996 Oshlack et al.
 5,556,640 A 9/1996 Ito et al.
 5,562,920 A 10/1996 Demmer et al.
 5,593,694 A 1/1997 Hayashida et al.
 5,601,842 A 2/1997 Bartholomaus

(Continued)

FOREIGN PATENT DOCUMENTSAR 46994 12/2004
(Continued)**OTHER PUBLICATIONS**

Herbert A. Lieberman, *Pharmaceutical Dosage Forms, Tablets, Second Edition, Revised and Expanded*, 1990. Ravin, Louis. *Preformulation*. Chapter 76. In *Remington's Pharmaceutical Sciences*, 17th Ed, 1985.
 Disanto, Anthony. *Bioavailability and Bioequivalency Testing*. Chapter 77. In *Remington's Pharmaceutical Sciences*, 17th Ed, 1985.
 Knevel, Adelbert. *Separation*. Chapter 78. In *Remington's Pharmaceutical Sciences*, 17th Ed, 1985.
 Phillips, G. Briggs. *Sterilization*. Chapter 79. In *Remington's Pharmaceutical Sciences*, 17th Ed, 1985.
 Siegel, Frederick. *Tonicity, Osmoticity, Osmolality, and Osmolarity*. Chapter 80. In *Remington's Pharmaceutical Sciences*, 17th Ed, 1985.
 Giles et al. *Plastic Packaging Materials*. Chapter 81. In *Remington's Pharmaceutical Sciences*, 17th Ed, 1985.
 Lintner, Carl. *Stability of Pharmaceutical Products*. Chapter 82. In *Remington's Pharmaceutical Sciences*, 17th Ed, 1985.
 Erskine, Jr., Clyde. *Quality Assurance and Control*. Chapter 83. In *Remington's Pharmaceutical Sciences*, 17th Ed, 1985.
 Nairn, J.G., *Solutions, Emulsion, Suspensions and Extractives*. Chapter 84. In *Remington's Pharmaceutical Sciences*, 17th Ed, 1985.
 Avis, Kenneth. *Parenteral Preparations*. Chapter 85. In *Remington's Pharmaceutical Sciences*, 17th Ed, 1985.
 Turco et al. *Intravenous Admixtures*. Chapter 86. In *Remington's Pharmaceutical Sciences*, 17th Ed, 1985.

(Continued)

Primary Examiner — Michael G Hartley*Assistant Examiner* — Melissa Perreira(74) *Attorney, Agent, or Firm* — Norris McLaughlin & Marcus, P.A.(57) **ABSTRACT**

An abuse-proofed, thermoformed dosage form containing, in addition to one or more active ingredients with abuse potential optionally together with physiologically acceptable auxiliary substances, at least one synthetic or natural polymer with a breaking strength of at least 500 N and to a process for the production thereof.

34 Claims, No Drawings

U.S. PATENT DOCUMENTS				2002/0015730	A1	2/2002	Hoffmann et al.
5,620,697	A	4/1997	Tormala et al.	2002/0018719	A1	2/2002	Arilla et al.
5,681,517	A	10/1997	Metzger	2002/0051820	A1	5/2002	Shell et al.
5,741,519	A	4/1998	Rosenberg et al.	2002/0114838	A1	8/2002	Ayer et al.
5,792,474	A	8/1998	Rauchfuss	2002/0132359	A1	9/2002	Waterman
5,801,201	A	9/1998	Gradums et al.	2002/0176888	A1	11/2002	Bartholomaeus et al.
5,811,126	A	9/1998	Krishnamurthy	2002/0192277	A1	12/2002	Oshlack et al.
5,849,240	A	12/1998	Miller et al.	2003/0008409	A1	1/2003	Spearman et al.
5,866,164	A	2/1999	Kuczynski et al.	2003/0015814	A1	1/2003	Krull et al.
5,908,850	A	6/1999	Zeitlin et al.	2003/0017532	A1	1/2003	Biswas et al.
5,916,584	A	6/1999	O'Donoghue et al.	2003/0021546	A1	1/2003	Sato
5,928,739	A	7/1999	Pophusen et al.	2003/0031546	A1	2/2003	Araki et al.
5,939,099	A	8/1999	Grabowski et al.	2003/0044458	A1	3/2003	Wright et al.
5,945,125	A	8/1999	Kim	2003/0044464	A1	3/2003	Ziegler et al.
5,948,787	A	9/1999	Merill et al.	2003/0064099	A1	4/2003	Oshlack et al.
5,968,925	A	10/1999	Knidlberger	2003/0068276	A1	4/2003	Hughes et al.
6,001,391	A	12/1999	Zeidler et al.	2003/0068370	A1	4/2003	Sackler
6,009,390	A	12/1999	Gupta et al.	2003/0068371	A1	4/2003	Oshlack et al.
6,009,690	A	1/2000	Rosenberg et al.	2003/0068392	A1	4/2003	Sackler
6,077,538	A	6/2000	Merrill et al.	2003/0069263	A1	4/2003	Breder et al.
6,096,339	A	8/2000	Ayer et al.	2003/0091630	A1	5/2003	Louie-Helm et al.
6,117,453	A	9/2000	Seth et al.	2003/0104052	A1	6/2003	Berner et al.
6,120,802	A	9/2000	Breitenbach et al.	2003/0104053	A1	6/2003	Gusler et al.
6,133,241	A	10/2000	Bok et al.	2003/0118641	A1	6/2003	Maloney et al.
6,228,863	B1	5/2001	Palermo et al.	2003/0124185	A1	7/2003	Oshlack et al.
6,235,825	B1	5/2001	Yoshida et al.	2003/0125347	A1	7/2003	Anderson et al.
6,238,697	B1	5/2001	Kumar et al.	2003/0133985	A1	7/2003	Louie-Helm et al.
6,245,357	B1	6/2001	Edgren et al.	2003/0152622	A1	8/2003	Louie-Helm et al.
6,248,737	B1	6/2001	Buschmann et al.	2003/0158242	A1	8/2003	Kugelmann
6,261,599	B1	7/2001	Oshlack	2003/0175326	A1	9/2003	Thombre
6,290,990	B1	9/2001	Grabowski et al.	2003/0232895	A1	12/2003	Omidian et al.
6,306,438	B1	10/2001	Oshlack et al.	2004/0010000	A1	1/2004	Ayer et al.
6,309,668	B1	10/2001	Bastin et al.	2004/0011806	A1	1/2004	Luciano et al.
6,318,650	B1	11/2001	Breitenbach et al.	2004/0052731	A1	3/2004	Hirsh et al.
6,340,475	B2	1/2002	Shell et al.	2004/0052844	A1	3/2004	Hsiao et al.
6,344,535	B1	2/2002	Timmermann et al.	2004/0081694	A1	4/2004	Oshlack
6,348,469	B1	2/2002	Seth	2004/0091528	A1	5/2004	Rogers et al.
6,355,656	B1	3/2002	Zeitlin et al.	2004/0126428	A1	7/2004	Hughes et al.
6,375,957	B1	4/2002	Kaiko et al.	2004/0131671	A1	7/2004	Zhang et al.
6,375,963	B1	4/2002	Repka et al.	2004/0156899	A1	8/2004	Louie-Helm et al.
6,399,100	B1	6/2002	Clancy et al.	2004/0170567	A1	9/2004	Sackler
6,419,954	B1	7/2002	Chu et al.	2004/0185105	A1	9/2004	Berner et al.
6,436,441	B1	8/2002	Sako et al.	2004/0213848	A1	10/2004	Li et al.
6,461,644	B1	10/2002	Jackson et al.	2005/0015730	A1	1/2005	Gunturi et al.
6,488,939	B1	12/2002	Zeidler et al.	2005/0031546	A1	2/2005	Bartholomaeus et al.
6,488,962	B1	12/2002	Berner et al.	2005/0058706	A1	3/2005	Bartholomaeus et al.
6,488,963	B1	12/2002	McGinity et al.	2005/0063214	A1	3/2005	Takashima
6,534,089	B1	3/2003	Ayer et al.	2005/0089475	A1	4/2005	Gruber
6,547,997	B1	4/2003	Breithenbach et al.	2005/0095291	A1	5/2005	Oshlack et al.
6,562,375	B1	5/2003	Sako et al.	2005/0106249	A1	5/2005	Hwang et al.
6,569,506	B1	5/2003	Jerdee et al.	2005/0112067	A1	5/2005	Kumar et al.
6,592,901	B2	7/2003	Durig et al.	2005/0127555	A1	6/2005	Gusik et al.
6,635,280	B2	10/2003	Shell et al.	2005/0152843	A1	7/2005	Bartholomaeus et al.
6,699,503	B1	3/2004	Sako et al.	2005/0186139	A1	8/2005	Bartholomaeus et al.
6,723,340	B2	4/2004	Gusler et al.	2005/0191244	A1	9/2005	Bartholomaeus et al.
6,723,343	B2	4/2004	Kugelmann	2005/0191340	A1	9/2005	Bartholomaeus et al.
6,733,783	B2	5/2004	Oshlack et al.	2005/0192333	A1	9/2005	Hinze et al.
6,753,009	B2	6/2004	Luber et al.	2005/0214223	A1	9/2005	Bartholomaeus et al.
6,821,588	B1	11/2004	Hammer et al.	2005/0222188	A1	10/2005	Chapman et al.
7,074,430	B2	7/2006	Miller et al.	2005/0236741	A1	10/2005	Arkenau et al.
7,129,248	B2	10/2006	Chapman et al.	2005/0245556	A1	11/2005	Brogmann et al.
7,141,250	B2	11/2006	Oshlack et al.	2005/0266084	A1	12/2005	Li et al.
7,157,103	B2	1/2007	Sackler	2006/0002859	A1	1/2006	Arkenau et al.
7,176,251	B1	2/2007	Bastoli et al.	2006/0002860	A1	1/2006	Bartholomaeus et al.
7,201,920	B2	4/2007	Kumar et al.	2006/0004034	A1	1/2006	Hinze et al.
7,214,385	B2	5/2007	Gruber	2006/0039864	A1	2/2006	Bartholomaeus et al.
7,399,488	B2	7/2008	Hirsh et al.	2006/0099250	A1	5/2006	Tian et al.
7,674,799	B2	3/2010	Chapman et al.	2006/0188447	A1	8/2006	Arkenau-Maric et al.
7,674,800	B2	3/2010	Chapman et al.	2006/0193782	A1	8/2006	Bartholomaeus et al.
7,683,072	B2	3/2010	Chapman et al.	2006/0193914	A1	8/2006	Ashworth et al.
7,776,314	B2	8/2010	Bortholomaeus et al.	2006/0240110	A1	10/2006	Klick et al.
7,851,482	B2	12/2010	Dung et al.	2007/0003616	A1	1/2007	Arkenau-Maric et al.
7,939,543	B2	5/2011	Kupper	2007/0020188	A1	1/2007	Sackler
8,075,872	B2	12/2011	Arkenau-Maric et al.	2007/0020335	A1	1/2007	Chen et al.
8,114,383	B2*	2/2012	Bartholomaeus et al.	2007/0048228	A1	3/2007	Arkenau-Maric et al.
8,192,722	B2	6/2012	Arkenau-Maric et al.	2007/0065365	A1	3/2007	Kugelmann et al.
2001/0038852	A1	11/2001	Kolter et al.	2007/0092573	A1	4/2007	Joshi et al.
2002/0001270	A1	1/2002	Fukuchi et al.	2007/0183979	A1	8/2007	Arkenau-Maric et al.
2002/0012701	A1	1/2002	Kolter et al.	2007/0183980	A1	8/2007	Arkenau-Maric et al.

2007/0190142	A1	8/2007	Breitenbach et al.	CA	2713128	7/2009
2007/0196396	A1	8/2007	Pilgaonkar et al.	CA	2723438	11/2009
2007/0196481	A1	8/2007	Amidon et al.	CH	689109	10/1998
2007/0224129	A1	9/2007	Guimberteau et al.	CL	20162004	5/2005
2007/0264327	A1	11/2007	Kumar et al.	CL	20172004	A1 5/2005
2007/0269505	A1	11/2007	Flath et al.	CL	200403308	A1 9/2005
2008/0023452	A1	1/2008	Grek et al.	CL	200500952	11/2005
2008/0069871	A1	3/2008	Vaughn et al.	CL	200501624	12/2005
2008/0081290	A1	4/2008	Wada et al.	CL	200501625	6/2006
2008/0234352	A1	9/2008	Fischer et al.	CN	87102755	A 10/1987
2008/0247959	A1	10/2008	Bartholomaeus et al.	CN	1980643	4/2005
2008/0248113	A1	10/2008	Bartholomaeus et al.	CN	101010071	6/2005
2008/0311049	A1	12/2008	Arkenau-Maric et al.	CN	101022787	1/2006
2008/0311187	A1	12/2008	Ashworth et al.	CN	001863513	11/2006
2008/0311197	A1	12/2008	Arkenau-Maric et al.	CN	001863514	11/2006
2008/0311205	A1	12/2008	Habib et al.	CN	01917862	2/2007
2008/0312264	A1	12/2008	Arkenau-Maric et al.	CN	101027044	8/2007
2008/0317854	A1	12/2008	Arkenau et al.	CN	101111232	1/2008
2009/0004267	A1	1/2009	Arkenau-Maric et al.	CN	101175482	2/2008
2009/0005408	A1	1/2009	Arkenau-Maric et al.	DE	2530563	1/1977
2009/0017121	A1	1/2009	Berner et al.	DE	4229085	A1 3/1994
2009/0081290	A1	3/2009	KcKenna et al.	DE	4309528	9/1994
2009/0202634	A1	8/2009	Jans et al.	DE	4446470	A1 6/1996
2010/0015223	A1	1/2010	Cailly-Deufestel et al.	DE	69400215	10/1996
2010/0092553	A1	4/2010	Guimberteau et al.	DE	19522899	C1 12/1996
2010/0098758	A1	4/2010	Bartholomaeus et al.	DE	2808505	1/1997
2010/0151028	A1	6/2010	Ashworth et al.	DE	19753534	6/1999
2010/0203129	A1	8/2010	Anderson et al.	DE	19800689	7/1999
2010/0221322	A1	9/2010	Bartholomaeus et al.	DE	19800698	7/1999
2010/0260833	A1	10/2010	Bartholomaeus et al.	DE	19822979	12/1999
2011/0020451	A1	1/2011	Bartholomaeus et al.	DE	69229881	12/1999
2011/0020454	A1	1/2011	Lamarca Casado	DE	19855440	6/2000
2011/0038930	A1	2/2011	Barnscheid et al.	DE	19856147	6/2000
2011/0082214	A1	4/2011	Faure et al.	DE	19940740	3/2001
2011/0097404	A1	4/2011	Oshlack et al.	DE	19960494	6/2001
2011/0159100	A1	6/2011	Anderson et al.	DE	10036400	6/2002
2011/0187017	A1	8/2011	Haupts	DE	69429710	8/2002
2012/0034171	A1	2/2012	Arkenau-Maric et al.	DE	10250083	12/2003
2012/0059065	A1	3/2012	Barnscheid et al.	DE	10250084	5/2004
2012/0065220	A1	3/2012	Barnscheid et al.	DE	10250087	5/2004
2012/0107250	A1	5/2012	Bartholomaeus et al.	DE	10250088	5/2004
2012/0135071	A1	5/2012	Bartholomaeus et al.	DE	10336400	3/2005
2012/0136021	A1	5/2012	Barnscheid et al.	DE	10 361 596	9/2005
FOREIGN PATENT DOCUMENTS				DE	10 2004 020 220	11/2005
AR	045353	10/2005		DE	102004019916	11/2005
AR	049562	8/2006		DE	102004020220	11/2005
AR	053304	5/2007		DE	10 2004 032049	1/2006
AR	054222	6/2007		DE	10 2004 032051	1/2006
AR	054328	6/2007		DE	10 2004 032103	1/2006
AU	2003237944	12/2003		DE	10 2005 005446	8/2006
AU	2003274071	5/2004		DE	10 2005 005449	8/2006
AU	2003278133	5/2004		DE	102007011485	9/2008
AU	2003279317	5/2004		DK	1658055	7/2007
AU	2004264666	2/2005		DK	1658054	10/2007
AU	2004264667	2/2005		DK	1515702	1/2009
AU	2004308653	4/2005		EC	SP066345	8/2006
AU	2005259476	1/2006		EP	0008131	2/1980
AU	2005259478	1/2006		EP	0216453	2/1980
AU	2006210145	8/2006		EP	0043254	1/1982
AU	2009207796	7/2009		EP	0177893	4/1986
AU	2009243681	11/2009		EP	0226061	6/1987
BR	P10413318	10/2006		EP	0228417	7/1987
BR	P10413361	10/2006		EP	0229652	7/1987
BR	P10513300	5/2008		EP	0232877	8/1987
BR	P10606145	2/2009		EP	0240906	10/1987
CA	722109	A 11/1965		EP	0261616	3/1988
CA	2082573	5/1993		EP	0270954	6/1988
CA	2317747	7/1999		EP	0277289	8/1988
CA	2352874	6/2000		EP	0293066	11/1988
CA	2502965	5/2004		EP	0328775	8/1989
CA	2534925	2/2005		EP	0477135	3/1992
CA	2534932	2/2005		EP	0544144	6/1993
CA	2551231	7/2005		EP	0583726	2/1994
CA	2572352	1/2006		EP	0598606	5/1994
CA	2572491	1/2006		EP	0636370	2/1995
CA	2595954	7/2006		EP	0641195	3/1995
CA	2229650	C 8/2006		EP	0647448	4/1995
CA	2595979	8/2006		EP	0654263	A1 5/1995
				EP	0661045	7/1995

US 8,309,060 B2

Page 4

EP	0675710	10/1995	PT	1658054	5/2006
EP	0682945	11/1995	PT	1658055	7/2007
EP	0693475	1/1996	PT	1515702	12/2008
EP	0820693	1/1996	RU	2131244	6/1999
EP	0696598	2/1996	RU	2396944 C2	7/2004
EP	0756480	2/1997	RU	2354357	12/2007
EP	0760654	3/1997	RU	2007103712	9/2008
EP	0780369	6/1997	RU	2007103707	11/2008
EP	0785775	7/1997	RU	2007132975	4/2009
EP	0 761 211 A1	12/1997	SI	1515702	4/2009
EP	0809488	12/1997	SI	1699440	11/2009
EP	0820698	1/1998	WO	8000841	5/1980
EP	0857062	8/1998	WO	89/05624	6/1989
EP	0864324	9/1998	WO	90/03776	4/1990
EP	0864326	9/1998	WO	93/06723	4/1993
EP	0980894	2/2000	WO	93/10758	6/1993
EP	0988106	3/2000	WO	93/11749	6/1993
EP	1014941	7/2000	WO	93/23017	11/1993
EP	1070504	1/2001	WO	93 23017	11/1993
EP	1127871	8/2001	WO	94/06414	3/1994
EP	1138321	10/2001	WO	94/08567	4/1994
EP	1166776	1/2002	WO	95/17174	6/1995
EP	1250045	10/2002	WO	95/20947	8/1995
EP	1251120	10/2002	WO	95/22319	8/1995
EP	1293127	3/2003	WO	95/30422	11/1995
EP	1293196	3/2003	WO	96/00066	1/1996
EP	1658055	2/2005	WO	96/03979	2/1996
EP	1515702	3/2005	WO	96/14058	5/1996
EP	1527775	4/2005	WO	97/33566	9/1997
EP	1558221	8/2005	WO	9749384	12/1997
EP	1558257	8/2005	WO	9835655 A3	2/1998
EP	1560585	8/2005	WO	98/20073	5/1998
EP	1658054	5/2006	WO	98/28698	7/1998
EP	1740161	1/2007	WO	98/35655	8/1998
EP	1658055 B1	3/2007	WO	99/12864	3/1999
EP	1765303	3/2007	WO	99/32120	7/1999
EP	1786403	5/2007	WO	99/44591	9/1999
EP	1558221 B1	6/2007	WO	99/48481	9/1999
EP	1658054 B1	6/2007	WO	00/33835	6/2000
EP	1842533 A2	10/2007	WO	00/40205	7/2000
EP	1845955	10/2007	WO	01/08661	2/2001
EP	1845956	10/2007	WO	01/12230	2/2001
EP	1859789	11/2007	WO	01/15667	3/2001
EP	1492506 B1	12/2008	WO	01/52651	7/2001
EP	1897545	12/2008	WO	01/97783	12/2001
EP	2131830	12/2009	WO	02/26061	4/2002
EP	2249811	11/2010	WO	02/26262	4/2002
EP	2273983	1/2011	WO	02/26928	4/2002
ES	2336571	12/2004	WO	0235991 A2	5/2002
ES	2260042	11/2006	WO	02/088217	11/2002
ES	2285497	11/2007	WO	03/006723	1/2003
ES	2288621	1/2008	WO	03/013476	2/2003
ES	2289542	2/2008	WO	03/013479	2/2003
ES	2315505	4/2009	WO	03/015531	2/2003
GB	1147210	4/1969	WO	03/024430	3/2003
GB	156727	5/1980	WO	03024426 A1	3/2003
GB	1567727	5/1980	WO	03/026624	4/2003
GB	2057878	4/1981	WO	03/026743	4/2003
GB	19522899	12/1996	WO	03/028698	4/2003
HR	P20070272	6/2007	WO	03/028990	4/2003
HR	20070456	11/2007	WO	03/031546	4/2003
JP	3 0501737	4/1991	WO	03/035029	5/2003
JP	8 505076	6/1996	WO	03/035053	5/2003
JP	2002 275175	9/2002	WO	03/035054	5/2003
JP	2005534664	11/2005	WO	03/035177	5/2003
KR	1020060069832	6/2006	WO	03/053417	7/2003
KR	20070039041	4/2007	WO	03/068392	8/2003
KR	20070111510	11/2007	WO	03/092648	11/2003
KR	20100111303	10/2010	WO	03/094812	11/2003
KR	20110016921	2/2011	WO	03/105808	12/2003
MX	20070000008	3/2007	WO	2004/004693	1/2004
MX	20070000009	3/2007	WO	2004/043967	2/2004
MX	2007009393	8/2007	WO	2004/026262	4/2004
MX	2010008138	8/2010	WO	2004/026263	4/2004
MX	2010012039	11/2010	WO	2004/037230	5/2004
NO	20061054	3/2006	WO	2004/037259	5/2004
NO	20070578	1/2007	WO	2004/037260	5/2004
NO	20074412	11/2007	WO	2004/066910	8/2004
PT	1699440	12/2004	WO	2004/084869	10/2004

WO	2004/093801	11/2004
WO	2004/093819	11/2004
WO	2004/100894	11/2004
WO	2005/016313	2/2005
WO	2005/016314	2/2005
WO	2005/032524	4/2005
WO	2005/041968	5/2005
WO	2005/053656	6/2005
WO	2005/055981	6/2005
WO	2005053587	6/2005
WO	2005/063214	7/2005
WO	2005/065646	7/2005
WO	2005/066183	7/2005
WO	2005/102286	11/2005
WO	2006/002883	1/2006
WO	2006/002884	1/2006
WO	2006/002886	1/2006
WO	2005102294	5/2006
WO	2006058249 A2	6/2006
WO	2006/082097	8/2006
WO	2006/082099	8/2006
WO	2007/005716	1/2007
WO	2007/008752	1/2007
WO	2007/048233	5/2007
WO	2007/053698	5/2007
WO	2007/085024	7/2007
WO	2007085024 A3	7/2007
WO	2007 103286	9/2007
WO	2007103105 A2	9/2007
WO	2007/112285	10/2007
WO	2007112273 A2	10/2007
WO	2008033523 A1	3/2008
WO	2008/086804	7/2008
WO	2008/107149	9/2008
WO	2008107149 A3	9/2008
WO	2008/148798	12/2008
WO	2009/003776	1/2009
WO	2009/092601	7/2009
WO	2009092601	7/2009
WO	2009112273 A2	9/2009
WO	2009/135680	11/2009
WO	2009135680	11/2009
WO	2010140007 A2	12/2010
WO	2010140007 A9	12/2010
WO	2011009602	1/2011
WO	2011009603	1/2011
WO	2011009604	1/2011
WO	2011095314 A3	8/2011
WO	2012028317 A1	3/2012
WO	2012028318	3/2012

OTHER PUBLICATIONS

- Mullins, John. Ophthalmic Preparations. Chapter 87. In Remington's Pharmaceutical Sciences, 17th Ed, 1985.
- Block, Lawrence. Medicated Applications. Chapter 88. In Remington's Pharmaceutical Sciences, 17th Ed, 1985.
- Rippie, Edward. Powders. Chapter 89. In Remington's Pharmaceutical Sciences, 17th Ed, 1985.
- King et al. Oral Solid Dosage Forms. Chapter 90. In Remington's Pharmaceutical Sciences, 17th Ed, 1985.
- Porter, Stuart. Coating of Pharmaceutical Dosage Forms. Chapter 91. In Remington's Pharmaceutical Sciences, 17th Ed, 1985.
- Longer et al. Sustained-Release Drug Delivery Systems. Chapter 92. In Remington's Pharmaceutical Sciences, 17th Ed, 1985.
- Sciarrà et al. Aerosols. Chapter 93. In Remington's Pharmaceutical Sciences, 17th Ed, 1985.
- Y.-S. Lee et al., Principles of Terahertz Science and Technology (Lecture Notes in Physics), Springer; 1 edition 2008.
- R.E. Miles et al., Terahertz Frequency Detection and Identification of Materials and Objects (NATO Science for Peace and Security Series B: Physics and Biophysics), Springer; 1 edition 2007.
- Repka MA, Drug Dev Ind Pharm. Oct. 2007;33(10):1043-57. (Abstract).
- Kurt H. Bauer, K. Lehmann, Hermann P. Osterwald, Rothgang, Gerhart, 1st edition, 1998, Medpharm Scientific Publishers (table of contents).
- O.G. Piringier, A.L. Baner, Plastic Packaging: Interactions with Food and Pharmaceuticals, Wiley VCH, 2nd Completely Revised Edition, Feb. 13, 2008.
- Guidance for Industry—Bioavailability and Bioequivalence—Studies for Orally Administered Drug Products—General Considerations, FDA, BP, Announced in the Federal Register: vol. 68, No. 53/Mar. 19, 2003.
- Crowley MM, Drug Dev Ind Pharm. Sep. 2007;33(9):909-26.
- D.A. Dean, E.R. Evans, I.H. Hall, Pharmaceutical Packaging Technology, Taylor & Francis, 1st Edition, Nov. 30, 2000.
- Dexheimer, Terahertz Spectroscopy: Principles and Applications (Optical Science and Engineering Series), CRC; 1 edition 2007.
- Encyclopedia of Pharmaceutical Technology, Third Edition, vol. 1, edited by James Swarbrick PharmaceuTech, Inc., Pinehurst, North Carolina, USA (Table of Contents only), Oct. 25, 2006.
- Encyclopedia of Pharmaceutical Technology, Third Edition, vol. 2, edited by James Swarbrick PharmaceuTech, Inc., Pinehurst, North Carolina, USA (Table of Contents only), Oct. 25, 2006.
- Encyclopedia of Pharmaceutical Technology, Third Edition, vol. 3, edited by James Swarbrick PharmaceuTech, Inc., Pinehurst, North Carolina, USA (Table of Contents only), Oct. 25, 2006.
- Encyclopedia of Pharmaceutical Technology, Third Edition, vol. 4, edited by James Swarbrick PharmaceuTech, Inc., Pinehurst, North Carolina, USA (Table of Contents only), Oct. 25, 2006.
- Encyclopedia of Pharmaceutical Technology, Third Edition, vol. 5, edited by James Swarbrick PharmaceuTech, Inc., Pinehurst, North Carolina, USA (Table of Contents only), Oct. 25, 2006.
- Encyclopedia of Pharmaceutical Technology, Third Edition, vol. 6, edited by James Swarbrick PharmaceuTech, Inc., Pinehurst, North Carolina, USA (Table of Contents only), Oct. 25, 2006.
- Guidance for Industry—Statistical Approaches to Establishing Bioequivalence, FDA, BP, Jan. 2001.
- Note for Guidance on the Investigation of Bioavailability and Bioequivalence, EMEA, London, Jul. 26, 2001 (CPMP/EWP/QWP/1401/98).
- Yeh et al., Stability of Morphine in Aqueous Solution III: Kinetics of Morphine Degradation in Aqueous Solution, Wiley Subscription Services, Inc., Journal of Pharmaceutical Sciences, 50(1): 35-42 (1961).
- Handbuch der Kunststoff-Extrusionstechnik 1, "Grundlagen" in Chapter 1.2 "Klassifizierung von Extrudern", pp. 3-7. 1989.
- 2.9 Methoden der pharmazeutischen Technologie 143-144, 1997.
- Apicella A., Biomaterials, vol. 14, No. 2, pp. 83-90, 1993.
- Arnold, "Teen Abuse of Painkiller OxyContin on the Rise," www.npr.org, Dec. 19, 2005.
- Bailey F.E., et al., "Some properties of poly(ethylene oxide) in aqueous solution," Journal of Applied Polymer Science, vol. 1, Issue No. 1, pp. 56-62, 1959.
- Bauer, Coated Pharmaceutical Dosage Forms, CRC Press, 1998, 1-10.
- Baum et al., Public Health Reports, 102(4): 426-429 (1987).
- Braun, et al. Angel Orthodontist, vol. 65 (5) pp. 373-377, 1995.
- Caraballo, Journal of Controlled Release, vol. 69, pp. 345-355, 2000.
- Coppens et al; "Hypromellose, Ethylcellulose, and Polyethylene Oxide Use in Hot Melt Extrusion"; Pharmaceutical Technology, 62-70, Jan. 2005.
- Crowley M.M., Biomaterials 23, 2002, pp. 4241-4248.
- Dachille, F. et al., "High-Pressure Phase Transformation in Laboratory Mechanical Mixers and Mortars", 1906., Nature, 186, pp. 1-2 (abstract).
- Davies, et al; European Journal of Pharmaceutics and Biopharmaceutics, 67, 2007, pp. 268-276.
- Dejong (Pharmaceutisch Weekblad Scientific Edition 1987, p. 24-28.
- Dow Excipients Chem. of Poly. Water Soluble-Resin 2004.
- Dow Technical Data, POLYOX, Feb. 2003.
- Efentakis M., Pharmaceutical Development and Technology, 5 (3), pp. 339-346, 2000.
- El-Sherbiny, European Polymer Journal, vol. 41, pp. 2584-2591, 2005.
- Adel El-Egaakey et al, Pharmaceutica Acta Helvetiae, vol. 46, Mar. 19, 1970.
- European Pharmacopeia, "Pharmaceutical technical procedures", 1997, p. 135.
- Fell, et al, Journal of Pharmaceutical Sciences, vol. 59, No. 5, May 1970, pp. 688-691.
- Follonier N., Drug Development and Industrial Pharmacy, 20(8), pp. 1323-1339, 1994.

- Follonier N., *Journal of Controlled Release* 36, pp. 243-250, 1995.
- Freed et al., "pH Control of Nucleophilic/electrophilic oxidation", *International Journal of Pharmaceutics*, vol. 357, pp. 180-188 (2008).
- Graham N.B., *Poly(Ethylene Glycol) Chemistry: Biotechnical and Biomedical Applications*, Chapter 17, 1992.
- Griffith, *Drug Administration*, vol. 19, No. 1, pp. 41-42, 2003.
- Hanning C.D., *British Journal of Anaesthesia*, 61, pp. 221-227, 1988.
- Inert gas—Wikipedia, Dec. 2009.
- Janicki S., *Acta Pharm. Technol.* 33 (3) 154-155, 1987.
- Katz et al., *Clin. J. Pain*, 23(8): 648-660 (2007).
- Kim C.-J. *J. Pharm. Sciences* 1995, 84(3).
- Kim, *Chem. Pharm. Bull.* 1992, 40(10), 2800-2804.
- J.W. McGinity—Letter of Jan. 26, 2009.
- Dr. Rick Matos, Ph.D.—Letter Jan. 6, 2011.
- Levina, *Drug Development and Industrial Pharmacy*, vol. 28, No. 5, pp. 495-514, 2002.
- Levina, *Journal of Pharmaceutical Sciences*, vol. 89, No. 6, pp. 703-723, Jun. 2000.
- Lockhart et al., "Packaging of Pharmaceuticals and Health Care Products", Blackie Academic & Professional; First Edition 1996.
- Madorsky S.L., *Journal of Polymer Science*, vol. 84, No. 3, Mar. 1959.
- Maggi. Therapeutic Potential of Capsaicin-like Molecules. *Life Sciences*, vol. 51, pp. 1777-1781, 1992.
- Maggi et al., *Biomaterials*, 2002, 23, 1113-1119.
- Maggi L. et al., "High molecular weight polyethylene oxides (PEOs) as an alternative to HPMC in controlled release dosage form", 2000, *International Journal of Pharmaceutics*, 195 pp. 229-238.
- Mank R., *Pharmazie* 44, H. 11, pp. 773-776, 1989.
- Mank R., *Pharmazie* 45, H. 8, pp. 592-593 1990.
- Mesiha M.S., *Drug Development and Industrial Pharmacy*, 19(8), pp. 943-959, 1993.
- Miller, *Nursing*, pp. 50-52, Feb. 2000.
- Mitchell, *Special Resource*, vol. 35, No. 5, pp. 535-557, 2000.
- Moroni A., *Drug Development and Industrial Pharmacy*, 21(12) pp. 1411-1428, 1995.
- Ohnishi N., *Chem. Pharm. Bull.* 35(8), pp. 3511-3515, 1987.
- Ozeki T., *Journal of Controlled Release* 58, pp. 87-95, 1999.
- Purdue News, "Purdue Pharma Provides Update on Development of New Abuse-Resistant Pain Medications; FDA Cites Patient Needs As First Priority; New Drug Application Delayed," www.headaches.about.com, Jun. 18, 2002.
- Verna, Manthena et al., *Am. J. Drug Deliv.* 2004; 2 (1): 43-57.
- Pharmazeutische Biologie—Drogen und ihre Inhaltsstoffe—Scharfstoffdrogen, pp. 82-92 (Wagner), 1999.
- Pharm. Research, Official Journal of the American Association of Pharmaceutical Scientists, Sep. 1989, 6(9), 6-98.
- Pharm. Research, Official Journal of the American Association of Pharmaceutical Scientists, Oct. 1991, 8(10), 8-192.
- Prapaitrakul W., *J. Pharm. Pharmacol.* 43, pp. 377-381, 1991.
- Proeschel, *J. Dent. Res.*, vol. 81, No. 7, pp. 464-468, 2002.
- Radko S., *Applied and Theoretical Electrophoresis* 5, pp. 79-88, 1995.
- Remington's *Pharmaceutical Sciences*, pp. 1553-1593, Ch. 89, 1980, 16th Edition.
- Remington's *Pharmaceutical Sciences* 17th ed., 1418 (1985).
- Rippie E.G., *Journal of Pharmaceutical Sciences*, Vol. 58, No. 4, pp. 428-431, Apr. 1969.
- Search result conducted on <http://www.unitconversion.org/force/newtons-to-kiloponds-conversion.html>, on Jul. 5, 2011 (Conversion of 18.8 kiloponds to newtons).
- Scheirs J., "Characterizing the Solid-State Thermal Oxidation of Poly (ethylene oxide) Powder", *Polymer*, vol. 32, No. 11, 1991.
- Schroeder J., *Granulierung hydrophober Wirkstoffe im Planetwalzenextruder* 2003, vol. 65, No. 4, 367-372.
- Shivanand P. *Pharmaceutical Research*, Oct. 1991, vol. 8, No. 10, p. 5192.
- Sprockel O.L., *J. Pharma. Pharmacol.* 42, pp. 152-157, 1990.
- Stafford J., *überzogene feste Formen*, 1991, 347-68.
- Strang, *British Med. J.*, 302: 969 (1991).
- Stringer J.L., *Journal of Controlled Release* 42, pp. 195-202, 1996.
- Summers et al; *Journal of Pharmaceutical Sciences*, vol. 66, No. 8, Aug. 1977, pp. 1172-1175.
- Tablet, www.docstoc.com (2011).
- Third Party Observations, Feb. 2, 2009.
- Thoma V.K. et al. "Bestimmung der In-vitro-Freigabe von schwach basischen Wirkstoffen aus Ratardarzneiformen", *Pharm. Ind.* 51, Nr. 3, 1989.
- Tipler, et al, *Physics for Scientists and Engineers*, 6th Edition, pp. 234-235, 2003.
- Tompkins et al., *Psychopharma.*, 210: 471-480 (2010).
- US Pharmacopoeia, Chapter 1217, Aug. 1, 2008.
- Waltimo, et al, "A novel bite force recorder and maximal isometric bite force values for healthy young adults", *Scandinavian Journal of Dental Research* 1993; 101: 171-5.
- Waltimo, et al, "Maximal bite force and its association with signs and symptoms of craniomandibular disorders in young Finnish non-patients", *Acta Odontol Scand* 53 (1995) : 254-258.
- Waterman et al., "Stabilization of Pharmaceuticals to Oxidative Degredation", *Pharmaceutical Development and Technology*, vol. 71(1), pp. 1-32, (2002).
- Waters et al., *Am. J. Psychiatry*, 164(1): pp. 173-174 (2007).
- Wu N, Mathematical modeling and in vitro study of controlled drug release via a highly swellable and dissoluble polymer matrix: poly-ethylene oxide with high molecular weights, *J Control Release*. Feb. 16, 2005; 102(3):569-81.
- Yang, et al; "Characterization of Compressibility and Compactibility of Poly(ethylene oxide) Polymers for Modified Release Application by Compaction Simulator"; *Journal of Pharmaceutical Sciences*, vol. 85, No. 10, Oct. 1996.
- Yarbrough et al, *Letters to Nature* 322, 347-349 (Jul. 24, 1986)
- "Extraordinary effects of mortar-and-pestle grinding on microstructure of sintered alumina gel".
- Zhang et al., *Pharmaceutical Development and Technology*, 1999, 4, 241-250.
- Rowe et al. *Handbook of Pharmaceutical Excipients*. Sixth Edition. 2009, pp. v-ix, Table of Contents.
- Sprockel O.L., *J. Pharma. Pharmacol.* 42, pp. 152-157, 1990.
- Conversion of 18.8 kiloponds to newtons, <http://www.unitconversion.org/force/newtons-to-kiloponds-conversion.html> on Jul. 5, 2011.
- Ritschel et al. *Die Tablette: Handbuch der Entwicklung, Herstellung und Qualitätssicherung*. 2002, Ch 6, pp. 515-519.
- Bauer et al. *Lehrbuch der Pharmazeutischen Technologie*. 1999. pp. IX-XV, Table of contents.
- European Pharmacopoeia, Third Edition, Council of Europe, Strasbourg, 1997, pp. 127-152.
- European Pharmacopoeia, Third Edition Supplement 2000, Council of Europe, Strasbourg, 2000, pp. 85-107.
- Hong et al. Dissolution kinetics and physical characterization of three-layered tablet with poly(ethylene oxide) core matrix capped by Carbopol. *Int. J. Pharmacol.* 2008, vol. 356, pp. 121-129.
- Hoepfner et al. *Fiedler Encyclopedia of Excipients*. 2007, Table of Contents only.
- Cawello, "Parameters for Compartment-free Pharmacokinetics—Standardization of Study Design, Data Analysis and Reporting" 1999, pp. XI-XIII (table of contents).
- Dachille, T., et al. "High-pressure phase transformation in laboratory mechanical mixers and mortars", 1960, *Nature*, 186, pp. 1-2 (abstract).
- Tablet Breaking Force. *Pharmacopoeial Forum*. 2008. vol. No. 31(6)p. 1695.
- Brown, "The Dissolution Procedure: Development and Validation" vol. 31(5). Chapter 1092, 2006, 1-15.
- Andre et al., "O-Demethylation of Opoid Derivatives With Methane Sulfonic Acid/Methoinine: Application to the Synthesis of Naloxone and Analogues" *Synthetic Comm.* 22(16), pp. 2313-2327, 1992.
- Augustine, R.L., Catalytic Hydrogenation of a, B-Unsaturated Ketones. III The Effect of Quantity and Type of Catalysts, *J. Org. Chem.* 28(1), pp. 152-155, Abstract 1963.
- Goodman and Gilman, "The Pharmacological Basis of Therapeutics, Seventh Edition", MacMillan Publishing Company, Table of Contents. 1985.
- McGinity et al., Hot-Melt Extrusion as a Pharmaceutical Process, *American Pharmaceutical Review*, vol. 4 (2), pp. 25-36, 2001.

- Weiss, U., "Derivatives of Morphine. I 14-Dihydroxydihydromorphinone," J. Am. Chem. Soc. 77, pp. 5891-5892, Nov. 20, 1955.
- European Search Report, Application No./Patent No. 11006253.6-2112, Dec. 16, 2011.
- European Search Report, Application No./Patent No. 11006254.4-2112, Dec. 16, 2011.
- European Search Report, Application No./Patent No. 11008131.2-1219, Feb. 24, 2012.
- European Search Report, Application No./Patent No. 12001296.8-1219, Jun. 26, 2012.
- European Search Report, Application No./Patent No. 11009129.5-2112, Apr. 10, 2012.
- European Search Report, Application No./Patent No. 12001301.6-1219, Jun. 26, 2012.
- A. James, "The legal and clinical implications of crushing tablet medication", Nurse Times 100(50), 28-33, 2004.
- C. W. McGary, Jr. "Degradation of Poly(ethylene Oxide)", Journal of Polymer Science vol. XLVI, 1960, pp. 51-57.
- P. Cornish "Avoid the Crush": hazards of medication administration in patients with dysphagia or a feeding tube, CMA Media Inc., CMAJ. 172(7), pp. 871-872, 2005.
- European Pharmacopoeia 2.9.40 "Uniformity of Dosage Units", 2006, pp. 3370-3373.
- European Pharmacopoeia 5.0, 2.9.8 "Resistance to Crushing of Tablets", 2005, p. 235.
- Griffin, "Classification of Surface-Active Agents by HLB" Journal of the Society of Cosmetic Chemists, Atlas Powder Company, 1949, pp. 311-326.
- Griffith et al. "Tablet Crushing and the Law: The Implications for Nursing" Professional Nurse 19(1), pp. 41-42, 2003.
- Mitchell, "Oral Dosage Forms That Should Not Be Crushed: 2000 Update" Hospital Pharmacy 35(5), 553-557, 2000.
- Munjal et al. "Polymeric Systems for Amorphous Delta9—Tetrahydrocannabinol Produced by a Hot-Melt Method. Part II: Effect of Oxidation Mechanisms and Chemical Interactions on Stability" Journal of Pharmaceutical Sciences vol. 95 No. 11, Wiley InterScience, 2006, pp. 2473-2485.
- Ozeki et al. "Control of Medicine Release From Solid Dispersion Through Poly(ethylene oxide)-Carboxyvinylpolymer Interaction", International Journal of Pharmaceutics, 165, 1998, pp. 239-244.
- Ozeki et al. "Controlled Release From Solid Dispersion Composed of Poly(ethylene oxide)-Carbopol Interpolymer Complex With Various Cross-Linking Degrees of Carbopol", Journal of Controlled Release. 63. 2000. pp. 287-295.
- Munsell Color Company, "The Munsell Book of Color: Glossy Collection", X-Rite, Originally published in 1966, pp. 1-7.
- Schier et al. "Fatality from Administration of Labetalol and Crushed Extended-Release Nifedipine" The Annals of Pharmacotherapy vol. 37, 1420-1423, Oct. 2003.
- USP Expert Council and its Committees. "The Dissolution Procedure: Development and Validation", heading "Study Design", "Time Points" US Pharmacopoeia (USP), General Chapter 1092, pp. 1-15, 2007.
- Wade and Weller, "Handbook of Pharmaceutical Excipients: 2nd Edition", The American Pharmaceutical Association and The Pharmaceutical Press, Table of Contents pp. v-vi, 1994.

* cited by examiner

ABUSE-PROOFED DOSAGE FORM**CROSS-REFERENCE TO RELATED APPLICATIONS**

This application is a division of U.S. patent application Ser. No. 10/718,112, filed Nov. 20, 2003, now U.S. Pat. No. 8,114,383, which claims priority of German Patent Application No. 103 36 400.5, filed Aug. 6, 2003, the entire contents of both of which applications are incorporated herein by reference.

BACKGROUND OF THE INVENTION**1. Field of the Invention**

The present invention relates to an abuse-proofed, thermoformed dosage form containing, in addition to one or more active ingredients with abuse potential (A) optionally together with physiologically acceptable auxiliary substances (B), at least one synthetic or natural polymer (C) and optionally at least one wax (D), wherein component (C) exhibits a breaking strength of at least 500 N, and to a process for the production of the dosage form according to the invention.

2. Description of Related Art

Many pharmaceutical active ingredients, in addition to having excellent activity in their appropriate application, also have abuse potential, i.e. they can be used by an abuser to bring about effects other than those intended. Opiates, for example, which are highly active in combating severe to very severe pain, are frequently used by abusers to induce a state of narcosis or euphoria.

In order to make abuse possible, the corresponding dosage forms, such as tablets or capsules are comminuted, for example ground in a mortar, by the abuser, the active ingredient is extracted from the resultant powder using a preferably aqueous liquid and the resultant solution, optionally after being filtered through cotton wool or cellulose wadding, is administered parenterally, in particular intravenously. An additional phenomenon of this kind of administration, in comparison with abusive oral administration, is a further accelerated increase in active ingredient levels giving the abuser the desired effect, namely the "kick" or "rush". This kick is also obtained if the powdered dosage form is administered nasally, i.e. is sniffed. Since controlled-release dosage forms containing active ingredients with abuse potential do not give rise to the kick desired by the abuser when taken orally even in abusively high quantities, such dosage forms are also comminuted and extracted in order to be abused.

U.S. Pat. No. 4,070,494 proposed adding a swellable agent to the dosage form in order to prevent abuse. When water is added to extract the active ingredient, this agent swells and ensures that the filtrate separated from the gel contains only a small quantity of active ingredient.

The multilayer tablet disclosed in WO 95/20947 is based on a similar approach to preventing parenteral abuse, said tablet containing the active ingredient with abuse potential and at least one gel former, each in different layers.

WO 03/015531 A2 discloses another approach to preventing parenteral abuse. A dosage form containing an analgesic opioid and a dye as an aversive agent is described therein. The colour released by tampering with the dosage form is intended to discourage the abuser from using the dosage form which has been tampered with.

Another known option for complicating abuse involves adding antagonists to the active ingredients to the dosage form, for example naloxone or naltrexone in the case of opi-

ates, or compounds which cause a physiological defence response, such as for example Radix ipecacuanha=ipecac root.

However, since in most cases of abuse it is still necessary to pulverise the dosage form comprising an active ingredient suitable for abuse, it was the object of the present invention to complicate or prevent the pulverisation preceding abuse of the dosage form comprising the agents conventionally available for potential abuse and accordingly to provide a dosage form for active ingredients with abuse potential which ensures the desired therapeutic effect when correctly administered, but from which the active ingredients cannot be converted into a form suitable for abuse simply by pulverisation.

SUMMARY OF THE INVENTION

Said object has been achieved by the provision of the abuse-proofed, thermoformed dosage form according to the invention which contains, in addition to one or more active ingredients with abuse potential (A), at least one synthetic or natural polymer (C) and optionally at least one wax (D), wherein component (C) exhibits a breaking strength of at least 500 N.

DETAILED DESCRIPTION OF THE INVENTION

The use of polymers having the stated minimum breaking strength, preferably in quantities such that the dosage form also exhibits such a minimum breaking strength, means that pulverisation of the dosage form is considerably more difficult using conventional means, so considerably complicating or preventing the subsequent abuse.

If comminution is inadequate, parenteral, in particular intravenous, administration cannot be performed safely or extraction of the active ingredient therefrom takes too long for the abuser or there is no "kick" when taken orally, as release is not spontaneous.

According to the invention, comminution is taken to mean pulverisation of the dosage form with conventional means which are available to an abuser, such as for example a mortar and pestle, a hammer, a mallet or other usual means for pulverisation by application of force.

The dosage form according to the invention is thus suitable for preventing parenteral, nasal and/or oral abuse of pharmaceutical active ingredients with abuse potential.

Pharmaceutical active ingredients with abuse potential are known to the person skilled in the art, as are the quantities thereof to be used and processes for the production thereof, and may be present in the dosage form according to the invention as such, in the form of the corresponding derivatives thereof, in particular esters or ethers, or in each case in the form of corresponding physiologically acceptable compounds, in particular in the form of the salts or solvates thereof, as racemates or stereoisomers. The dosage form according to the invention is also suitable for the administration of several active ingredients. It is preferably used to administer a specific active ingredient.

The dosage form according to the invention is in particular suitable for preventing abuse of a pharmaceutical active ingredient selected from the group consisting of opiates, opioids, tranquillisers, preferably benzodiazepines, barbiturates, stimulants and other narcotics.

The dosage form according to the invention is very particularly suitable for preventing abuse of an opiate, opioid, tranquilliser or another narcotic selected from the group consisting of N-{1-[2-(4-ethyl-5-oxo-2-tetrazolin-1-yl)ethyl]-4-methoxymethyl-4-piperidyl}propionanilide (alfentanil), 5,5-

diallylbarbituric acid (allobarbitol), allylprodine, alphaprodine, 8-chloro-1-methyl-6-phenyl-4H-[1,2,4]triazolo[4,3-a][1,4]-benzodiazepine (alprazolam), 2-diethylaminopropiophenone (amfepramone), (\pm)- α -methyl-phenethylamine (amphetamine), 2-(α -methylphenethylamino)-2-phenylacetonitrile (amphetaminil), 5-ethyl-5-isopentylbarbituric acid (amobarbital), anileridine, apocodeine, 5,5-diethylbarbituric acid (barbital), benzylmorphine, bezitramide, 7-bromo-5-(2-pyridyl)-1H-1,4-benzodiazepine-2(3H)-one (bromazepam), 2-bromo-4-(2-chlorophenyl)-9-methyl-6H-thieno[3,2-f][1,2,4]triazolo-[4,3-a][1,4]diazepine (brotizolam), 17-cyclopropylmethyl-4,5 α -epoxy-7 α [(S)-1-hydroxy-1,2,2-trimethyl-propyl]-6-methoxy-6,14-endo-ethanomorphinan-3-ol (buprenorphine), 5-butyl-5-ethylbarbituric acid (butobarbital), butorphanol, (7-chloro-1,3-dihydro-1-methyl-2-oxo-5-phenyl-2H-1,4-benzodiazepine-3-yl)-dimethylcarbamate (camazepam), (1S,2S)-2-amino-1-phenyl-1-propanol (cathine/D-norpseudoephedrine), 7-chloro-N-methyl-5-phenyl-3H-1,4-benzodiazepine-2-ylamine-4-oxide (chlorodiazepoxide), 7-chloro-1-methyl-5-phenyl-1H-1,5-benzodiazepine-2,4(3H,5H)-dione (clobazam), 5-(2-chlorophenyl)-7-nitro-1H-1,4-benzodiazepine-2(3H)-one (clonazepam), clonitazene, 7-chloro-2,3-dihydro-2-oxo-5-phenyl-1H-1,4-benzodiazepine-3-carboxylic acid (clorazepate), 5-(2-chlorophenyl)-7-ethyl-1-methyl-1H-thieno[2,3-e][1,4]diazepine-2(3H)-one (clotiazepam), 10-chloro-11b-(2-chlorophenyl)-2,3,7,11b-tetrahydrooxazolo[3,2-d][1,4]benzodiazepine-6(5H)-one (cloxazolam), (-)-methyl-[3 β -benzoyloxy-2 β (1 α H,5 α H)-tropancarboxylate] (cocaine), 4,5 α -epoxy-3-methoxy-17-methyl-7-morphinene-6 α -ol (codeine), 5-(1-cyclohexenyl)-5-ethylbarbituric acid (cyclobarbitol), cyclorphan, cyprenorphine, 7-chloro-5-(2-chlorophenyl)-1H-1,4-benzodiazepine-2(3H)-one (de lorazepam), desomorphine, dextromoramide, (+)-(1-benzyl-3-dimethylamino-2-methyl-1-phenylpropyl)propionate (dextropropoxyphen), dezocine, diampromide, diamorphone, 7-chloro-1-methyl-5-phenyl-1H-1,4-benzodiazepine-2(3H)-one (diazepam), 4,5 α -epoxy-3-methoxy-17-methyl-6 α -morphinanol (dihydrocodeine), 4,5 α -epoxy-17-methyl-3,6 α -morphinandioid (dihydromorphine), dimenoxadol, dimepheptanol, dimethylthiambutene, dioxaphetyl butyrate, dipipanone, (6aR,10aR)-6,6,9-trimethyl-3-pentyl-6a,7,8,10a-tetrahydro-6H-benzo[c]chromene-1-ol (dronabinol), eptazocine, 8-chloro-6-phenyl-4H-[1,2,4]triazolo[4,3-a][1,4]benzodiazepine (estazolam), ethoheptazine, ethylmethylthiambutene, ethyl [7-chloro-5-(2-fluorophenyl)-2,3-dihydro-2-oxo-1H-1,4-benzodiazepine-3-carboxylate] (ethyl loflazepate), 4,5 α -epoxy-3-ethoxy-17-methyl-7-morphinene-6 α -ol (ethylmorphine), etonitazene, 4,5 α -epoxy-7 α -(1-hydroxy-1-methylbutyl)-6-methoxy-17-methyl-6,14-endo-etheno-morphinan-3-ol (etorphine), N-ethyl-3-phenyl-8,9,10-trinorbornan-2-ylamine (fencamfamine), 7-[2-(α -methyl-phenethylamino)ethyl]-theophylline (fenethylamine), 3-(α -methylphenethylamino)propionitrile (fenproporex), N-(1-phenethyl-4-piperidyl)propionanilide (fentanyl), 7-chloro-5-(2-fluorophenyl)-1-methyl-1H-1,4-benzodiazepine-2(3H)-one (fludiazepam), 5-(2-fluorophenyl)-1-methyl-7-nitro-1H-1,4-benzodiazepine-2(3H)-one (flunitrazepam), 7-chloro-1-(2-diethylaminoethyl)-5-(2-fluorophenyl)-1H-1,4-benzodiazepine-2(3H)-one (flurazepam), 7-chloro-5-phenyl-1-(2,2,2-trifluoroethyl)-1H-1,4-benzodiazepine-2(3H)-one (halazepam), 10-bromo-11b-(2-fluorophenyl)-2,3,7,11b-tetrahydro[1,3]oxazolyl[3,2-d][1,4]benzodiazepine-6(5H)-one (haloxazolam), heroin, 4,5 α -epoxy-3-methoxy-17-methyl-6-morphinanone (hydrocodone), 4,5 α -epoxy-3-hydroxy-17-

methyl-6-morphinanone (hydromorphone), hydroxypethidine, isomethadone, hydroxymethyl morphinanone, 11-chloro-8,12b-dihydro-2,8-dimethyl-12b-phenyl-4H-[1,3]oxazino[3,2-d][1,4]benzodiazepine-4,7(6H)-dione (ketazolam), 1-[4-(3-hydroxyphenyl)-1-methyl-4-piperidyl]-1-propanone (ketobemidone), (3S,6S)-6-dimethylamino-4,4-diphenylheptan-3-yl acetate (levacetylmethadol (LAAM)), (-)-6-dimethylamino-4,4-diphenol-3-heptanone (levomethadone), (-)-17-methyl-3-morphinanol (levorphanol), levophenacylmorphane, lofentanil, 6-(2-chlorophenyl)-2-(4-methyl-1-piperazinylmethylene)-8-nitro-2H-imidazo[1,2-a][1,4]benzodiazepine-1(4H)-one (loprazolam), 7-chloro-5-(2-chlorophenyl)-3-hydroxy-1H-1,4-benzodiazepine-2(3H)-one (lorazepam), 7-chloro-5-(2-chlorophenyl)-3-hydroxy-1-methyl-1H-1,4-benzodiazepine-2(3H)-one (lormetazepam), 5-(4-chlorophenyl)-2,5-dihydro-3H-imidazo[2,1-a]isoindol-5-ol (mazindol), 7-chloro-2,3-dihydro-1-methyl-5-phenyl-1H-1,4-benzodiazepine (medazepam), N-(3-chloropropyl)- α -methylphenethylamine (mefenorex), meperidine, 2-methyl-2-propyltrimethylene dicarbamate (meprobamate), meptazinol, metazocine, methylmorphine, N, α -dimethylphenethylamine (methamphetamine), (\pm)-6-dimethylamino-4,4-diphenyl-3-heptanone (methadone), 2-methyl-3-o-tolyl-4(3H)-quinazolinone (methaqualone), methyl [2-phenyl-2-(2-piperidyl)acetate] (methylphenidate), 5-ethyl-1-methyl-5-phenylbarbituric acid (methylphenobarbital), 3,3-diethyl-5-methyl-2,4-piperidinedione (methypylon), metopon, 8-chloro-6-(2-fluorophenyl)-1-methyl-4H-imidazo[1,5-a][1,4]benzodiazepine (midazolam), 2-(benzhydrylsulfinyl)-acetamide (modafinil), 4,5 α -epoxy-17-methyl-7-morphinene-3,6 α -diol (morphine), myrophine, (\pm)-trans-3-(1,1-dimethylheptyl)-7,8,10,10 α -tetrahydro-1-hydroxy-6,6-dimethyl-6H-dibenzo[b,d]pyrane-9(6 α H)-one (nabilone), nalbuphine, nalorphine, narceine, nicomorphine, 1-methyl-7-nitro-5-phenyl-1H-1,4-benzodiazepine-2(3H)-one (nimetazepam), 7-nitro-5-phenyl-1H-1,4-benzodiazepine-2(3H)-one (nitrazepam), 7-chloro-5-phenyl-1H-1,4-benzodiazepine-2(3H)-one (nordazepam), norlevorphanol, 6-dimethylamino-4,4-diphenyl-3-hexanone (normethadone), normorphine, norpipanone, the exudation of plants belonging to the species *Papaver somniferum* (opium), 7-chloro-3-hydroxy-5-phenyl-1H-1,4-benzodiazepine-2(3H)-one (oxazepam), (cis-trans)-10-chloro-2,3,7,11b-tetrahydro-2-methyl-11b-phenyloxazolol[3,2-d][1,4]benzodiazepine-6(5H)-one (oxazolam), 4,5 α -epoxy-14-hydroxy-3-methoxy-17-methyl-6-morphinanone (oxycodone), oxymorphone, plants and parts of plants belonging to the species *Papaver somniferum* (including the subspecies *setigerum*), papavereturn, 2-imino-5-phenyl-4-oxazolidinone (pernoline), 1,2,3,4,5,6-hexahydro-6,11-dimethyl-3-(3-methyl-2-butenyl)-2,6-methano-3-benzazocin-8-ol (pentazocine), 5-ethyl-5-(1-methylbutyl)-barbituric acid (pentobarbital), ethyl-(1-methyl-4-phenyl-4-piperidine carboxylate) (pethidine), phenadoxone, phenomorphan, phenazocine, phenoperidine, piminodine, pholcodine, 3-methyl-2-phenylmorpholine (phenmetrazine), 5-ethyl-5-phenylbarbituric acid (phenobarbital), α , α -dimethylphenethylamine (phentermine), 7-chloro-5-phenyl-1-(2-propynyl)-1H-1,4-benzodiazepine-2(3H)-one (pinazepam), α -(2-piperidyl)benzhydryl alcohol (pipradrol), 1'-(3-cyano-3,3-diphenylpropyl)[1,4'-bipiperidine]-4'-carboxamide (piritramide), 7-chloro-1-(cyclopropylmethyl)-5-phenyl-1H-1,4-benzodiazepine-2(3H)-one (prazepam), profadol, proheptazine, promedol, properidine, propoxyphene, N-(1-methyl-2-piperidinoethyl)-N-(2-pyridyl)propionamide, methyl {3-[4-methoxycarbonyl-4-(N-phenylpropanamido)piperidino]propanoate} (remifentanyl), 5-sec-butyl-5-ethylbarbituric acid (secbutabarbital), 5-allyl-

5

5-(1-methylbutyl)-barbituric acid (secobarbital), N-{4-methoxymethyl-1-[2-(2-thienyl)ethyl]-4-piperidyl}-propionanilide (sufentanil), 7-chloro-2-hydroxy-methyl-5-phenyl-1H-1,4-benzodiazepin-2(3H)-one (temazepam), 7-chloro-5-(1-cyclohexenyl)-1-methyl-1H-1,4-benzodiazepine-2(3H)-one (tetrazepam), ethyl (2-dimethylamino-1-phenyl-3-cyclohexene-1-carboxylate) (tilidine (cis and trans)), tramadol, 8-chloro-6-(2-chlorophenyl)-1-methyl-4H-[1,2,4]triazolo[4,3-a][1,4]benzodiazepine (triazolam), 5-(1-methylbutyl)-5-vinylbarbituric acid (vinylbital), (1R*,2R*)-3-(3-dimethylamino-1-ethyl-2-methyl-propyl)-phenol, (1R,2R,4S)-2-(dimethylamino)methyl-4-(p-fluoro-benzyloxy)-1-(m-methoxyphenyl)cyclohexanol, (1R,2R)-3-(2-dimethylaminomethyl-cyclohexyl)phenol, (1S,2S)-3-(3-dimethylamino-1-ethyl-2-methyl-propyl)phenol, (2R,3R)-1-dimethylamino-3-(3-methoxyphenyl)-2-methyl-pentan-3-ol, (1RS,3RS,6RS)-6-dimethylaminomethyl-1-(3-methoxyphenyl)-cyclohexane-1,3-diol, 3-(2-dimethylaminomethyl-1-hydroxy-cyclohexyl)phenyl 2-(4-isobutoxy-phenyl)-propionate, 3-(2-dimethylaminomethyl-1-hydroxy-cyclohexyl)phenyl 2-(6-methoxy-naphthalen-2-yl)-propionate, 3-(2-dimethylaminomethyl-cyclohex-1-enyl)-phenyl 2-(4-isobutyl-phenyl)-propionate, 3-(2-dimethylaminomethyl-cyclohex-1-enyl)-phenyl 2-(6-methoxy-naphthalen-2-yl)-propionate, (RR-SS)-2-acetoxy-4-trifluoromethyl-benzoic acid 3-(2-dimethylaminomethyl-1-hydroxy-cyclohexyl)-phenyl ester, (RR-SS)-2-hydroxy-4-trifluoromethyl-benzoic acid 3-(2-dimethylaminomethyl-1-hydroxy-cyclohexyl)-phenyl ester, (RR-SS)-4-chloro-2-hydroxy-benzoic acid 3-(2-dimethylaminomethyl-1-hydroxy-cyclohexyl)-phenyl ester, (RR-SS)-2-hydroxy-4-methyl-benzoic acid 3-(2-dimethylaminomethyl-1-hydroxy-cyclohexyl)-phenyl ester, (RR-SS)-2-hydroxy-4-methoxy-benzoic acid 3-(2-dimethylaminomethyl-1-hydroxy-cyclohexyl)-phenyl ester, (RR-SS)-2-hydroxy-5-nitro-benzoic acid 3-(2-dimethylaminomethyl-1-hydroxy-cyclohexyl)-phenyl ester, (RR-SS)-2',4'-difluoro-3-hydroxy-biphenyl-4-carboxylic acid 3-(2-dimethylaminomethyl-1-hydroxy-cyclohexyl)-phenyl ester and for corresponding stereoisomeric compounds, the corresponding derivatives thereof in each case, in particular esters or ethers, and the physiologically acceptable compounds thereof in each case, in particular the salts and solvates thereof.

The compounds (1R*,2R*)-3-(3-dimethylamino-1-ethyl-2-methyl-propyl)-phenol, (1R,2R,4S)-2-(dimethylamino)methyl-4-(p-fluorobenzyloxy)-1-(m-methoxyphenyl)cyclohexanol or the stereoisomeric compounds thereof or the physiologically acceptable compounds thereof, in particular the hydrochlorides thereof, the derivatives thereof, such as esters or ethers, and processes for the production thereof are known, for example, from EP-A-693475 or EP-A-780369. The corresponding descriptions are hereby introduced as a reference and are deemed to be part of the disclosure.

In order to achieve the necessary breaking strength of the dosage form according to the invention, at least one synthetic or natural polymer (C) is used which has a breaking strength, measured using the method disclosed in the present application, of at least 500 N. At least one polymer selected from the group consisting of polymethylene oxide, polyethylene oxide, polypropylene oxide, polyethylene, polypropylene, polyvinyl chloride, polycarbonate, polystyrene, polyacrylate, copolymers thereof, and mixtures of at least two of the stated polymers is preferably used for this purpose. The polymers are distinguished by a molecular weight of at least 0.5 million, determined by rheological measurements. Thermoplastic polyalkylene oxides, such as polyethylene oxides, with a molecular weight of at least 0.5 million, preferably of up to 15

6

million, determined by rheological measurements, are very particularly preferred. These polymers have a viscosity at 25° C. of 4500 to 17600 cP, measured on a 5 wt. % aqueous solution using a model RVF Brookfield viscosimeter (spindle no. 2/rotational speed 2 rpm), of 400 to 4000 cP, measured on a 2 wt. % aqueous solution using the stated viscosimeter (spindle no. 1 or 3/rotational speed 10 rpm) or of 1650 to 10000 cP, measured on a 1 wt. % aqueous solution using the stated viscosimeter (spindle no. 2/rotational speed 2 rpm).

The polymers are used in powder form.

In order to achieve the necessary breaking strength of the dosage form according to the invention, it is furthermore possible additionally to use at least one natural or synthetic wax (D) with a breaking strength, measured using the method disclosed in the present application, of at least 500 N. Waxes with a softening point of at least 60° C. are preferred. Carnauba wax and beeswax are particularly preferred. Carnauba wax is very particularly preferred. Carnauba wax is a natural wax which is obtained from the leaves of the carnauba palm and has a softening point of $\geq 80^{\circ}$ C. When the wax component is additionally used, it is used together with at least one polymer (C) in quantities such that the dosage form has a breaking strength of at least 500 N.

The dosage forms according to the invention are distinguished in that, due their hardness, they cannot be pulverised, for example by grinding in a mortar. This virtually rules out oral or parenteral, in particular intravenous or nasal abuse. However, in order to prevent any possible abuse in the event of comminution and/or pulverisation of the dosage form according to the invention which has nevertheless been achieved by application of extreme force, the dosage forms according to the invention may, in a preferred embodiment, contain further agents which complicate or prevent abuse as auxiliary substances (B).

The abuse-proofed dosage form according to the invention, which comprises, apart from one or more active ingredients with abuse potential, at least one hardening polymer (C) and optionally at least one wax (D), may accordingly also comprise at least one of the following components (a)-(f) as auxiliary substances (B):

- (a) at least one substance which irritates the nasal passages and/or pharynx,
- (b) at least one viscosity-increasing agent, which, with the assistance of a necessary minimum quantity of an aqueous liquid, forms a gel with the extract obtained from the dosage form, which gel preferably remains visually distinguishable when introduced into a further quantity of an aqueous liquid,
- (c) at least one antagonist for each of the active ingredients with abuse potential,
- (d) at least one emetic,
- (e) at least one dye as an aversive agent,
- (f) at least one bitter substance.

Components (a) to (f) are additionally each individually suitable for abuse-proofing the dosage form according to the invention. Accordingly, component (a) is preferably suitable for proofing the dosage form against nasal, oral and/or parenteral, preferably intravenous, abuse, component (b) is preferably suitable for proofing against parenteral, particularly preferably intravenous and/or nasal abuse, component (c) is preferably suitable for proofing against nasal and/or parenteral, particularly preferably intravenous, abuse, component (d) is preferably suitable for proofing against parenteral, particularly preferably intravenous, and/or oral and/or nasal abuse, component (e) is suitable as a visual deterrent against oral or parenteral abuse and component (f) is suitable for proofing against oral or nasal abuse. Combined

use according to the invention of at least one of the above-stated components makes it possible still more effectively to prevent abuse of dosage forms according to the invention.

In one embodiment, the dosage form according to the invention may also comprise two or more of components (a)-(f) in a combination, preferably (a), (b) and optionally (c) and/or (f) and/or (e) or (a), (b) and optionally (d) and/or (f) and/or (e).

In another embodiment, the dosage form according to the invention may comprise all of components (a)-(f).

If the dosage form according to the invention comprises component (a) to counter abuse, substances which irritate the nasal passages and/or pharynx which may be considered according to the invention are any substances which, when administered via the nasal passages and/or pharynx, bring about a physical reaction which is either so unpleasant for the abuser that he/she does not wish to or cannot continue administration, for example burning, or physiologically counteracts taking of the corresponding active ingredient, for example due to increased nasal secretion or sneezing. These substances which conventionally irritate the nasal passages and/or pharynx may also bring about a very unpleasant sensation or even unbearable pain when administered parenterally, in particular intravenously, such that the abuser does not wish to or cannot continue taking the substance.

Particularly suitable substances which irritate the nasal passages and/or pharynx are those which cause burning, itching, an urge to sneeze, increased formation of secretions or a combination of at least two of these stimuli. Appropriate substances and the quantities thereof which are conventionally to be used are known per se to the skilled person or may be identified by simple preliminary testing.

The substance which irritates the nasal passages and/or pharynx of component (a) is preferably based on one or more constituents or one or more plant parts of at least one hot substance drug.

Corresponding hot substance drugs are known per se to the person skilled in the art and are described, for example, in "Pharmazeutische Biologie—Drogen und ihre Inhaltsstoffe" by Prof. Dr. Hildebert Wagner, 2nd., revised edition, Gustav Fischer Verlag, Stuttgart-N.Y., 1982, pages 82 et seq. The corresponding description is hereby introduced as a reference and is deemed to be part of the disclosure.

The dosage form according to the invention may preferably contain the plant parts of the corresponding hot substance drugs in a quantity of 0.01 to 30 wt. %, particularly preferably of 0.1 to 0.5 wt. %, in each case relative to the total weight dosage unit.

If one or more constituents of corresponding hot substance drugs are used, the quantity thereof in a dosage unit according to the invention preferably amounts to 0.001 to 0.005 wt. %, relative to the total weight of the dosage unit.

A dosage unit is taken to mean a separate or separable administration unit, such as for example a tablet or a capsule.

One or more constituents of at least one hot substance drug selected from the group consisting of *Allii sativi bulbus* (garlic), *Asari rhizoma cum herba* (Asarum root and leaves), *Calami rhizoma* (calamus root), *Capsici fructus* (capsicum), *Capsici fructus acer* (cayenne pepper), *Curcumae longae rhizoma* (turmeric root), *Curcumae xanthorrhizae rhizoma* (Javanese turmeric root), *Galangae rhizoma* (galangal root), *Myristicae semen* (nutmeg), *Piperis nigri fructus* (pepper), *Sinapis albae semen* (white mustard seed), *Sinapis nigri semen* (black mustard seed), *Zedoariae rhizoma* (zedoary root) and *Zingiberis rhizoma* (ginger root), particularly preferably from the group consisting of *Capsici fructus* (capsicum), *Capsici fructus acer* (cayenne pepper) and *Piperis nigri*

fructus (pepper) may preferably be added as component (a) to the dosage form according to the invention.

The constituents of the hot substance drugs preferably comprise o-methoxy(methyl)phenol compounds, acid amide compounds, mustard oils or sulfide compounds or compounds derived therefrom.

Particularly preferably, at least one constituent of the hot substance drugs is selected from the group consisting of myristicin, elemicin, isoeugenol, β -asarone, safrole, gingerols, xanthorrhizol, capsaicinoids, preferably capsaicin, capsaicin derivatives, such as N-vanillyl-9E-octadecanamide, dihydrocapsaicin, nordihydrocapsaicin, homocapsaicin, norcapsaicin and nomorcapsaicin, piperine, preferably trans-piperine, glucosinolates, preferably based on non-volatile mustard oils, particularly preferably based on p-hydroxybenzyl mustard oil, methylmercapto mustard oil or methylsulfonyl mustard oil, and compounds derived from these constituents.

Another option for preventing abuse of the dosage form according to the invention consists in adding at least one viscosity-increasing agent as a further abuse-preventing component (b) to the dosage form, which, with the assistance of a necessary minimum quantity of an aqueous liquid, forms a gel with the extract obtained from the dosage form, which gel is virtually impossible to administer safely and preferably remains visually distinguishable when introduced into a further quantity of an aqueous liquid.

For the purposes of the present invention visually distinguishable means that the active ingredient-containing gel formed with the assistance of a necessary minimum quantity of aqueous liquid, when introduced, preferably with the assistance of a hypodermic needle, into a further quantity of aqueous liquid at 37° C., remains substantially insoluble and cohesive and cannot straightforwardly be dispersed in such a manner that it can safely be administered parenterally, in particular intravenously. The material preferably remains visually distinguishable for at least one minute, preferably for at least 10 minutes.

The increased viscosity of the extract makes it more difficult or even impossible for it to be passed through a needle or injected. If the gel remains visually distinguishable, this means that the gel obtained on introduction into a further quantity of aqueous liquid, for example by injection into blood, initially remains in the form of a largely cohesive thread, which, while it may indeed be broken up into smaller fragments, cannot be dispersed or even dissolved in such a manner that it can safely be administered parenterally, in particular intravenously. In combination with at least one optionally present component (a) to (e), this additionally leads to unpleasant burning, vomiting, bad flavour and/or visual deterrence.

Intravenous administration of such a gel would most probably result in obstruction of blood vessels, associated with serious embolism or even death of the abuser.

In order to verify whether a viscosity-increasing agent is suitable as component (b) for use in the dosage form according to the invention, the active ingredient is mixed with the viscosity-increasing agent and suspended in 10 ml of water at a temperature of 25° C. If this results in the formation of a gel which fulfils the above-stated conditions, the corresponding viscosity-increasing agent is suitable for preventing or averting abuse of the dosage forms according to the invention.

If component (b) is added to the dosage form according to the invention, one or more viscosity-increasing agents are used which are selected from the group consisting of microcrystalline cellulose with 11 wt. % carboxymethylcellulose sodium (Avicel® RC 591), carboxymethylcellulose sodium

(Blanose®, CMC-Na C300P®, Frimulsion BLC-5®, Tylose C300 P®), polyacrylic acid (Carbopol® 980 NF, Carbopol® 981), locust bean flour (Cesagum® LA-200, Cesagum® LID/150, Cesagum® LN-1), pectins such as citrus pectin (Cesapectin® HM Medium Rapid Set), apple pectin, pectin from lemon peel, waxy maize starch (C*Gel 04201®), sodium alginate (Frimulsion ALG (E401)®), guar flour (Frimulsion BM®, Polygum 26/1-75®), iota carrageen (Frimulsion D021®), karaya gum, gellan gum (Kelcogel F®, Kelcogel LT100®), galactomannan (Meyprogat 150®), tara bean flour (Polygum 43/10), propylene glycol alginate (Protanal-Ester SD-LB®), sodium hyaluronate, tragacanth, tara gum (Vidogum SP 200®), fermented polysaccharide welan gum (K1A96), xanthan gum (Xantural 180®). Xanthans are particularly preferred. The names stated in brackets are the trade names by which the materials are known commercially. In general, a quantity of 0.1 to 5 wt. % of the viscosity-increasing agent(s) is sufficient to fulfil the above-stated conditions.

The component (b) viscosity-increasing agents, where provided, are preferably present in the dosage form according to the invention in quantities of ≥ 5 mg per dosage unit, i.e. per administration unit.

In a particularly preferred embodiment of the present invention, the viscosity-increasing agents used as component (b) are those which, on extraction from the dosage form with the necessary minimum quantity of aqueous liquid, form a gel which encloses air bubbles. The resultant gels are distinguished by a turbid appearance, which provides the potential abuser with an additional optical warning and discourages him/her from administering the gel parenterally.

It is also possible to formulate the viscosity-increasing agent and the other constituents in the dosage form according to the invention in a mutually spatially separated arrangement.

In order to discourage and prevent abuse, the dosage form according to the invention may furthermore comprise component (c), namely one or more antagonists for the active ingredient or active ingredients with abuse potential, wherein the antagonists are preferably spatially separated from the remaining constituents of the invention dosage according to the form and, when correctly used, do not exert any effect.

Suitable antagonists for preventing abuse of the active ingredients are known per se to the person skilled in the art and may be present in the dosage form according to the invention as such or in the form of corresponding derivatives, in particular esters or ethers, or in each case in the form of corresponding physiologically acceptable compounds, in particular in the form of the salts or solvates thereof.

If the active ingredient present in the dosage form is an opiate or an opioid, the antagonist used is preferably an antagonist selected from the group consisting of naloxone, naltrexone, nalmefene, nalid, nalmexone, nalorphine or naluphine, in each case optionally in the form of a corresponding physiologically acceptable compound, in particular in the form of a base, a salt or solvate. The corresponding antagonists, where component (c) is provided, are preferably used in a quantity of ≥ 10 mg, particularly preferably in a quantity of 10 to 100 mg, very particularly preferably in a quantity of 10 to 50 mg per dosage form, i.e. per administration unit.

If the dosage form according to the invention comprises a stimulant as active ingredient, the antagonist is preferably a neuroleptic, preferably at least one compound selected from the group consisting of haloperidol, promethazine, fluphenazine, perphenazine, levomepromazine, thioridazine, perazine, chlorpromazine, chlorprothixine, zuclopentixol, flupentixol, prothipendyl, zotepine, benperidol, pipamperone, melperone and bromperidol.

The dosage form according to the invention preferably comprises these antagonists in a conventional therapeutic dose known to the person skilled in the art, particularly preferably in a quantity of twice to four times the conventional dose per administration unit.

If the combination to discourage and prevent abuse of the dosage form according to the invention comprises component (d), it may comprise at least one emetic, which is preferably present in a spatially separated arrangement from the other components of the dosage form according to the invention and, when correctly used, is intended not to exert its effect in the body.

Suitable emetics for preventing abuse of an active ingredient are known per se to the person skilled in the art and may be present in the dosage form according to the invention as such or in the form of corresponding derivatives, in particular esters or ethers, or in each case in the form of corresponding physiologically acceptable compounds, in particular in the form of the salts or solvates thereof.

An emetic based on one or more constituents of radix ipecacuanha (ipecac root), preferably based on the constituent emetine may preferably be considered in the dosage form according to the invention, as are, for example, described in "Pharmazeutische Biologie—Drogen und ihre Inhaltsstoffe" by Prof. Dr. Hildebert Wagner, 2nd, revised edition, Gustav Fischer Verlag, Stuttgart, N.Y., 1982. The corresponding literature description is hereby introduced as a reference and is deemed to be part of the disclosure.

The dosage form according to the invention may preferably comprise the emetic emetine as component (d), preferably in a quantity of ≥ 10 mg, particularly preferably of ≥ 20 mg and very particularly preferably in a quantity of ≥ 40 mg per dosage form, i.e. administration unit.

Apomorphine may likewise preferably be used as an emetic in the abuse-proofing according to the invention, preferably in a quantity of preferably ≥ 3 mg, particularly preferably of ≥ 5 mg and very particularly preferably of ≥ 7 mg per administration unit.

If the dosage form according to the invention contains component (e) as a further abuse-preventing auxiliary substance, the use of a such a dye brings about an intense coloration of a corresponding aqueous solution, in particular when the attempt is made to extract the active ingredient for parenteral, preferably intravenous administration, which coloration may act as a deterrent to the potential abuser. Oral abuse, which conventionally begins by means of aqueous extraction of the active ingredient, may also be prevented by this coloration. Suitable dyes and the quantities required for the necessary deterrence may be found in WO 03/015531, wherein the corresponding disclosure should be deemed to be part of the present disclosure and is hereby introduced as a reference.

If the dosage form according to the invention contains component (f) as a further abuse-preventing auxiliary substance, this addition of at least one bitter substance and the consequent impairment of the flavour of the dosage form additionally prevents oral and/or nasal abuse.

Suitable bitter substances and the quantities effective for use may be found in US-2003/0064099 A1, the corresponding disclosure of which should be deemed to be the disclosure of the present application and is hereby introduced as a reference. Suitable bitter substances are preferably aromatic oils, preferably peppermint oil, eucalyptus oil, bitter almond oil, menthol, fruit aroma substances, preferably aroma substances from lemons, oranges, limes, grapefruit or mixtures thereof, and/or denatonium benzoate.

The solid dosage form according to the invention is suitable to be taken orally or rectally, preferably orally.

The orally administrable dosage form according to the invention may assume multiparticulate form, preferably in the form of microtablets, microcapsules, micropellets, granules, spheroids, beads or pellets, optionally packaged in capsules or pressed into tablets. The multiparticulate forms preferably have a size or size distribution in the range from 0.1 to 3 mm, particularly preferably in the range from 0.5 to 2 mm. Depending on the desired dosage form, conventional auxiliary substances (B) are optionally also used for the formulation of the dosage form.

The solid, abuse-proofed dosage form according to the invention is preferably produced by mixing the components (A), (B), (C) and optionally (D) and at least one of the optionally present further abuse-preventing components (a)-(f) and, optionally after granulation, press-forming the resultant mixture to yield the dosage form with preceding, simultaneous, or subsequent exposure to heat.

Mixing of components (A), (B), (C) and optionally (D) and of the optionally present further components (a)-(f) proceeds in a mixer known to the person skilled in the art. The mixer may, for example, be a roll mixer, shaking mixer, shear mixer or compulsory mixer.

The resultant mixture is preferably formed directly by application of pressure to yield the dosage form according to the invention with preceding, simultaneous or subsequent exposure to heat. The mixture may, for example, be formed into tablets by direct tableting. In direct tableting with simultaneous exposure to heat, the tableting tool, i.e. bottom punch, top punch and die are briefly heated at least to the softening temperature of the polymer (C) and pressed together. In direct tableting with subsequent exposure to heat, the formed tablets are briefly heated at least to the softening temperature (glass transition temperature, melting temperature; sintering temperature) of component (C) and cooled again. In direct tableting with preceding exposure to heat, the material to be pressed is heated immediately prior to tableting at least to the softening temperature of component (C) and then pressed.

The resultant mixture of components (A), (B), (C) and optionally (D) and the optionally present components (a) to (f) may also first be granulated and then be formed with preceding, simultaneous, or subsequent exposure to heat to yield the dosage form according to the invention.

In a further preferred embodiment, the dosage form according to the invention assumes the form of a tablet, a capsule or is in the form of an oral osmotic therapeutic system (OROS), preferably if at least one further abuse-preventing component (a)-(f) is also present.

If components (c) and/or (d) and/or (f) are present in the dosage form according to the invention, care must be taken to ensure that they are formulated in such a manner or are present in such a low dose that, when correctly administered, the dosage form is able to bring about virtually no effect which impairs the patient or the efficacy of the active ingredient.

If the dosage form according to the invention contains component (d) and/or (f), the dosage must be selected such that, when correctly orally administered, no negative effect is caused. If, however, the intended dosage of the dosage form is exceeded inadvertently, in particular by children, or in the event of abuse, nausea or an inclination to vomit or a bad flavour are produced. The particular quantity of component (d) and/or (f) which can still be tolerated by the patient in the event of correct oral administration may be determined by the person skilled in the art by simple preliminary testing.

If, however, irrespective of the fact that the dosage form according to the invention is virtually impossible to pulverise, the dosage form containing the components (c) and/or (d) and/or (f) is provided with protection, these components should preferably be used at a dosage which is sufficiently high that, when abusively administered, they bring about an intense negative effect on the abuser. This is preferably achieved by spatial separation of at least the active ingredient or active ingredients from components (c) and/or (d) and/or (f), wherein the active ingredient or active ingredients is/are present in at least one subunit (X) and components (c) and/or (d) and/or (f) is/are present in at least one subunit (Y), and wherein, when the dosage form is correctly administered, components (c), (d) and (f) do not exert their effect on taking and/or in the body and the remaining components of the formulation, in particular component (C), are identical.

If the dosage form according to the invention comprises at least 2 of components (c) and (d) or (f), these may each be present in the same or different subunits (Y). Preferably, when present, all the components (c) and (d) and (f) are present in one and the same subunit (Y).

For the purposes of the present invention, subunits are solid formulations, which in each case, apart from conventional auxiliary substances known to the person skilled in the art, contain the active ingredient(s), at least one polymer (C) and optionally at least one of the optionally present components (a) and/or (b) and/or (e) or in each case at least one polymer (C) and the antagonist(s) and/or emetic(s) and/or component (e) and/or component (f) and optionally at least one of the optionally present components (a) and/or (b). Care must here be taken to ensure that each of the subunits is formulated in accordance with the above-stated process.

One substantial advantage of the separated formulation of active ingredients from components (c) or (d) or (f) in subunits (X) and (Y) of the dosage form according to the invention is that, when correctly administered, components (c) and/or (d) and/or (f) are hardly released on taking and/or in the body or are released in such small quantities that they exert no effect which impairs the patient or therapeutic success or, on passing through the patient's body, they are only liberated in locations where they cannot be sufficiently absorbed to be effective. When the dosage form is correctly administered, hardly any of components (c) and/or (d) and/or (f) is released into the patient's body or they go unnoticed by the patient.

The person skilled in the art will understand that the above-stated conditions may vary as a function of the particular components (c), (d) and/or (f) used and of the formulation of the subunits or the dosage form. The optimum formulation for the particular dosage form may be determined by simple preliminary testing. What is vital is that each subunit contains the polymer (C) and has been formulated in the stated manner.

Should, contrary to expectations, the abuser succeed in comminuting such a dosage form according to the invention, which comprises components (c) and/or (e) and/or (d) and/or (f) in subunits (Y), for the purpose of abusing the active ingredient and obtain a powder which is extracted with a suitable extracting agent, not only the active ingredient but also the particular component (c) and/or (e) and/or (f) and/or (d) will be obtained in a form in which it cannot readily be separated from the active ingredient, such that when the dosage form which has been tampered with is administered, in particular by oral and/or parenteral administration, it will exert its effect on taking and/or in the body combined with an additional negative effect on the abuser corresponding to component (c) and/or (d) and/or (f) or, when the attempt is

13

made to extract the active ingredient, the coloration will act as a deterrent and so prevent abuse of the dosage form.

A dosage form according to the invention, in which the active ingredient or active ingredients is/are spatially separated from components (c), (d) and/or (e), preferably by formulation in different subunits, may be formulated in many different ways, wherein the corresponding subunits may each be present in the dosage form according to the invention in any desired spatial arrangement relative to one another, provided that the above-stated conditions for the release of components (c) and/or (d) are fulfilled.

The person skilled in the art will understand that component(s) (a) and/or (b) which are optionally also present may preferably be formulated in the dosage form according to the invention both in the particular subunits (X) and (Y) and in the form of independent subunits corresponding to subunits (X) and (Y), provided that neither the abuse-proofing nor the active ingredient release in the event of correct administration is impaired by the nature of the formulation and the polymer (C) is included in the formulation and formulation is carried out in accordance with the above-stated process.

In a preferred embodiment of the dosage form according to the invention, subunits (X) and (Y) are present in multiparticulate form, wherein microtablets, microcapsules, micro-pellets, granules, spheroids, beads or pellets are preferred and the same form, i.e. shape, is selected for both subunit (X) and subunit (Y), such that it is not possible to separate subunits (X) from (Y) by mechanical selection. The multiparticulate forms are preferably of a size in the range from 0.1 to 3 mm, preferably of 0.5 to 2 mm.

The subunits (X) and (Y) in multiparticulate form may also preferably be packaged in a capsule or be pressed into a tablet, wherein the final formulation in each case proceeds in such a manner that the subunits (X) and (Y) are also retained in the resultant dosage form.

The multiparticulate subunits (X) and (Y) of identical shape should also not be visually distinguishable from one another so that the abuser cannot separate them from one another by simple sorting. This may, for example, be achieved by the application of identical coatings which, apart from this disguising function, may also incorporate further functions, such as, for example, controlled release of one or more active ingredients or provision of a finish resistant to gastric juices on the particular subunits.

In a further preferred embodiment of the present invention, subunits (X) and (Y) are in each case arranged in layers relative to one another.

The layered subunits (X) and (Y) are preferably arranged for this purpose vertically or horizontally relative to one another in the dosage form according to the invention, wherein in each case one or more layered subunits (X) and one or more layered subunits (Y) may be present in the dosage form, such that, apart from the preferred layer sequences (X)-(Y) or (X)-(Y)-(X), any desired other layer sequences may be considered, optionally in combination with layers containing components (a) and/or (b).

Another preferred dosage form according to the invention is one in which subunit (Y) forms a core which is completely enclosed by subunit (X), wherein a separation layer (Z) may be present between said layers. Such a structure is preferably also suitable for the above-stated multiparticulate forms, wherein both subunits (X) and (Y) and an optionally present separation layer (Z), which must satisfy the hardness requirement according to the invention, are formulated in one and the same multiparticulate form. In a further preferred embodiment of the dosage form according to the invention, the subunit (X) forms a core, which is enclosed by subunit (Y),

14

wherein the latter comprises at least one channel which leads from the core to the surface of the dosage form.

The dosage form according to the invention may comprise, between one layer of the subunit (X) and one layer of the subunit (Y), in each case one or more, preferably one, optionally swellable separation layer (Z) which serves to separate subunit (X) spatially from (Y).

If the dosage form according to the invention comprises the layered subunits (X) and (Y) and an optionally present separation layer (Z) in an at least partially vertical or horizontal arrangement, the dosage form preferably takes the form of a tablet, a coextrudate or a laminate.

In one particularly preferred embodiment, the entirety of the free surface of subunit (Y) and optionally at least part of the free surface of subunit(s) (X) and optionally at least part of the free surface of the optionally present separation layer(s) (Z) may be coated with at least one barrier layer (Z') which prevents release of component (c) and/or (e) and/or (d) and/or (f). The barrier layer (Z') must also fulfil the hardness conditions according to the invention.

Another particularly preferred embodiment of the dosage form according to the invention comprises a vertical or horizontal arrangement of the layers of subunits (X) and (Y) and at least one push layer (p) arranged therebetween, and optionally a separation layer (Z), in which dosage form the entirety of the free surface of layer structure consisting of subunits (X) and (Y), the push layer and the optionally present separation layer (Z) is provided with a semipermeable coating (E), which is permeable to a release medium, i.e. conventionally a physiological liquid, but substantially impermeable to the active ingredient and to component (c) and/or (d) and/or (f), and wherein this coating (E) comprises at least one opening for release of the active ingredient in the area of subunit (X).

A corresponding dosage form is known to the person skilled in the art, for example under the name oral osmotic therapeutic system (OROS), as are suitable materials and methods for the production thereof, inter alia from U.S. Pat. No. 4,612,008, U.S. Pat. No. 4,765,989 and U.S. Pat. No. 4,783,337. The corresponding descriptions are hereby introduced as a reference and are deemed to be part of the disclosure.

In a further preferred embodiment, the subunit (X) of the dosage form according to the invention is in the form of a tablet, the edge face of which and optionally one of the two main faces is covered with a barrier layer (Z') containing component (c) and/or (d) and/or (f).

The person skilled in the art will understand that the auxiliary substances of the subunit(s) (X) or (Y) and of the optionally present separation layer(s) (Z) and/or of the barrier layer(s) (Z') used in formulating the dosage form according to the invention will vary as a function of the arrangement thereof in the dosage form according to the invention, the mode of administration and as a function of the particular active ingredient of the optionally present components (a) and/or (b) and/or (e) and of component (c) and/or (d) and/or (f). The materials which have the requisite properties are in each case known per se to the person skilled in the art.

If release of component (c) and/or (d) and/or (f) from subunit (Y) of the dosage form according to the invention is prevented with the assistance of a cover, preferably a barrier layer, the subunit may consist of conventional materials known to the person skilled in the art, providing that it contains at least one polymer (C) to fulfil the hardness condition of the dosage form according to the invention.

If a corresponding barrier layer (Z') is not provided to prevent release of component (c) and/or (d) and/or (f), the materials of the subunits should be selected such that release

15

of the particular component (c) and/or (d) from subunit (Y) is virtually ruled out. The materials which are stated below to be suitable for production of the barrier layer may preferably be used for this purpose. The materials for the separation layer and/or barrier layer must contain at least one polymer (C) in order to fulfil the hardness conditions.

Preferred materials are those which are selected from the group consisting of alkylcelluloses, hydroxyalkylcelluloses, glucans, scleroglucans, mannans, xanthans, copolymers of poly[bis(p-carboxyphenoxy)propane and sebacic acid, preferably in a molar ratio of 20:80 (commercially available under the name Polifeprosan 20®), carboxymethylcelluloses, cellulose ethers, cellulose esters, nitrocelluloses, polymers based on (meth)acrylic acid and the esters thereof, polyamides, polycarbonates, polyalkylenes, polyalkylene glycols, polyalkylene oxides, polyalkylene terephthalates, polyvinyl alcohols, polyvinyl ethers, polyvinyl esters, halogenated polyvinyls, polyglycolides, polysiloxanes and polyurethanes and the copolymers thereof.

Particularly suitable materials may be selected from the group consisting of methylcellulose, ethylcellulose, hydroxypropylcellulose, hydroxypropylmethylcellulose, hydroxybutylmethylcellulose, cellulose acetate, cellulose propionate (of low, medium or high molecular weight), cellulose acetate propionate, cellulose acetate butyrate, cellulose acetate phthalate, carboxymethylcellulose, cellulose triacetate, sodium cellulose sulfate, polymethyl methacrylate, polyethyl methacrylate, polybutyl methacrylate, polyisobutyl methacrylate, polyhexyl methacrylate, polyisodecyl methacrylate, polydodecyl methacrylate, polyphenyl methacrylate, polymethyl acrylate, polyisopropyl acrylate, polyisobutyl acrylate, polyoctadecyl acrylate, polyethylene, low density polyethylene, high density polyethylene, polypropylene, polyethylene glycol, polyethylene oxide, polyethylene terephthalate, polyvinyl alcohol, polyvinyl isobutyl ether, polyvinyl acetate and polyvinyl chloride.

Particularly suitable copolymers may be selected from the group consisting of copolymers of butyl methacrylate and isobutyl methacrylate, copolymers of methyl vinyl ether and maleic acid with high molecular weight, copolymers of methyl vinyl ether and maleic acid monoethyl ester, copolymers of methyl vinyl ether and maleic anhydride and copolymers of vinyl alcohol and vinyl acetate.

Further materials which are particularly suitable for formulating the barrier layer are starch-filled polycaprolactone (WO98/20073), aliphatic polyesteramides (DE 19 753 534 A1, DE 19 800 698 A1, EP 0 820 698 A1), aliphatic and aromatic polyester urethanes (DE 19822979), polyhydroxyalkanoates, in particular polyhydroxybutyrate, polyhydroxyvalerate, casein (DE 4 309 528), polylactides and copolylactides (EP 0 980 894 A1). The corresponding descriptions are hereby introduced as a reference and are deemed to be part of the disclosure.

The above-stated materials may optionally be blended with further conventional auxiliary substances known to the person skilled in the art, preferably selected from the group consisting of glyceryl monostearate, semi-synthetic triglyceride derivatives, semi-synthetic glycerides, hydrogenated castor oil, glyceryl palmitostearate, glyceryl behenate, polyvinylpyrrolidone, gelatine, magnesium stearate, stearic acid, sodium stearate, talcum, sodium benzoate, boric acid and colloidal silica, fatty acids, substituted triglycerides, glycerides, polyoxyalkylene glycols and the derivatives thereof.

If the dosage form according to the invention comprises a separation layer (Z'), said layer, like the uncovered subunit (Y), may preferably consist of the above-stated materials described for the barrier layer. The person skilled in the art

16

will understand that release of the active ingredient or of component (c) and/or (d) from the particular subunit may be controlled by the thickness of the separation layer.

The dosage form according to the invention may comprise one or more active ingredients at least partially in controlled release form, wherein controlled release may be achieved with the assistance of conventional materials and methods known to the person skilled in the art, for example by embedding the active ingredient in a controlled release matrix or by the application of one or more controlled release coatings. Active ingredient release must, however, be controlled such that the above-stated conditions are fulfilled in each case, for example that, in the event of correct administration of the dosage form, the active ingredient or active ingredients are virtually completely released before the optionally present component (c) and/or (d) can exert an impairing effect.

Controlled release from the dosage form according to the invention is preferably achieved by embedding the active ingredient in a matrix. The auxiliary substances acting as matrix materials control active ingredient release. Matrix materials may, for example, be hydrophilic, gel-forming materials, from which active ingredient release proceeds mainly by diffusion, or hydrophobic materials, from which active ingredient release proceeds mainly by diffusion from the pores in the matrix.

Physiologically acceptable, hydrophobic materials which are known to the person skilled in the art may be used as matrix materials. Polymers, particularly preferably cellulose ethers, cellulose esters and/or acrylic resins are preferably used as hydrophilic matrix materials. Ethylcellulose, hydroxypropylmethylcellulose, hydroxypropylcellulose, hydroxymethylcellulose, poly(meth)acrylic acid and/or the derivatives thereof, such as the salts, amides or esters thereof are very particularly preferably used as matrix materials.

Matrix materials prepared from hydrophobic materials, such as hydrophobic polymers, waxes, fats, long-chain fatty acids, fatty alcohols or corresponding esters or ethers or mixtures thereof are also preferred. Mono- or diglycerides of C12-C30 fatty acids and/or C12-C30 fatty alcohols and/or waxes or mixtures thereof are particularly preferably used as hydrophobic materials.

It is also possible to use mixtures of the above-stated hydrophilic and hydrophobic materials as matrix materials.

Component (C) and the optionally present component (D), which serve to achieve the breaking strength of at least 500 N which is necessary according to the invention may furthermore also optionally serve as additional matrix materials.

If the dosage form according to the invention is intended for oral administration, it may also preferably comprise a coating which is resistant to gastric juices and dissolves as a function of the pH value of the release environment. By means of this coating, it is possible to ensure that the dosage form according to the invention passes through the stomach undissolved and the active ingredient is only released in the intestines. The coating which is resistant to gastric juices preferably dissolves at a pH value of between 5 and 7.5.

Corresponding materials and methods for the controlled release of active ingredients and for the application of coatings which are resistant to gastric juices are known to the person skilled in the art, for example from "Coated Pharmaceutical Dosage Forms—Fundamentals, Manufacturing Techniques, Biopharmaceutical Aspects, Test Methods and Raw Materials" by Kurt H. Bauer, K. Lehmann, Hermann P. Osterwald, Rothgang, Gerhart, 1st edition, 1998, Medpharm Scientific Publishers. The corresponding literature description is hereby introduced as a reference and is deemed to be part of the disclosure.

17

Method for Determining Breaking Strength

A) In order to verify whether a polymer may be used as component (C), the polymer is pressed to form a tablet with a diameter of 10 mm and a height of 5 mm using a force of 150 N at a temperature which at least corresponds to the softening point of the polymer and is determined with the assistance of a DSC diagram of the polymer. Using tablets produced in this manner, breaking strength is determined with the apparatus described below in accordance with the method for determining the breaking strength of tablets published in the European Pharmacopoeia 1997, page 143-144, method no. 2.9.8. The apparatus used for the measurement is a series 3300 universal tester, single column benchtop model no. 3345 from Instron®, Canton, Mass., USA. The clamping tool used is a pressure piston with a diameter of 25 mm, which can be subjected to a load of up to 1 kN (item no. 2501-3 from Instron®).

An Instron® universal tester, single column benchtop model no. 5543, with the above-stated clamping tool may also be used to carry out the measurement.

The tablets deemed to be resistant to breaking under a specific load include not only those which have not broken but also those which may have suffered plastic deformation under the action of the force.

Providing that the dosage form is in tablet form, breaking strength may be determined using the same measurement method.

The following Examples illustrate the invention purely by way of example and without restricting the general concept of the invention.

EXAMPLES

Tramadol hydrochloride was used as the active ingredient in a series of Examples. Tramadol hydrochloride was used, despite tramadol not being an active ingredient which conventionally has abuse potential, because it is not governed by German narcotics legislation, so simplifying the experimental work. Tramadol is moreover a member of the opioid class with excellent water solubility.

Example 1

Components	Per tablet	Complete batch
Tramadol hydrochloride	100 mg	100 g
Polyethylene oxide, NF, MFI (190° C. at 21.6 kg/10 min) <0.5 g	200 mg	200 g
MW 7 000 000 (Polyox WSR 303, Dow Chemicals)		
Total weight	300 mg	300 g

Tramadol hydrochloride and polyethylene oxide powder were mixed in a free-fall mixer. A tableting tool with top punch, bottom punch and die for tablets with a diameter of 10 mm and a radius of curvature of 8 mm was heated to 80° C. in a heating cabinet. 300 mg portions of the powder mixture were pressed with the heated tool, wherein pressure was maintained for at least 15 seconds by clamping the tableting tool in a vice.

18

The breaking strength of the tablets was determined with the stated apparatus in accordance with the stated method. The tablets did not break when exposed to a force of 500 N.

The tablet could not be comminuted using a hammer, nor with the assistance of a mortar and pestle.

In vitro release of the active ingredient from the preparation was determined in a paddle stirrer apparatus in accordance with Pharm. Eur. The temperature of the release medium was 37° C. and the rotational speed of the stirrer 75 min⁻¹. At the beginning of the investigation, each tablet was placed in a 600 ml portion of artificial gastric juice, pH 1.2. After 30 minutes, the pH value was increased to 2.3 by addition of alkali solution, after a further 90 minutes to pH 6.5 and after a further 60 minutes to pH 7.2. The released quantity of active ingredient present in the dissolution medium at each point in time was determined by spectrophotometry.

Time	Released quantity
30 min	15%
240 min	52%
480 min	80%
720 min	99%

Example 2

300 mg portions of the powder mixture from Example 1 were heated to 80° C. and in placed in the die of the tableting tool. Pressing was then performed. The tablet exhibits the same properties such as the tablet in Example 1.

Example 3

Raw material	Per tablet	Complete batch
Tramadol hydrochloride	50 mg	100 g
Polyethylene oxide, NF, MW 7 000 000 (Polyox WSR 303, Dow Chemicals)	100 mg	200 g
Total weight	150 mg	300 g

Tramadol hydrochloride and the above-stated components were mixed in a free-fall mixer. A tableting tool with top punch, bottom punch and die for tablets with a diameter of 7 mm was heated to 80° C. in a heating cabinet. 150 mg portions of the powder mixture were pressed with the heated tool, wherein pressure was maintained for at least 15 seconds by clamping the tableting tool in a vice.

The breaking strength of the tablets was determined with the stated apparatus in accordance with the stated method. The tablets did not break when exposed to a force of 500 N.

In vitro release of the active ingredient was determined as in Example 1 and was:

Time	Released quantity
30 min	15%
240 min	62%
480 min	88%
720 min	99%

19

Example 4

Raw material	Per tablet	Complete batch
Tramadol hydrochloride	100 mg	100 g
Polyethylene oxide, NF, MW 7 000 000 (Polyox WSR 303, Dow Chemicals)	180 mg	180 g
Xanthan, NF	20 mg	20 g
Total weight	300 mg	300 g

Tramadol hydrochloride, xanthan and polyethylene oxide were mixed in a free-fall mixer. A tableting tool with top punch, bottom punch and die for tablets with a diameter of 10 mm and a radius of curvature of 8 mm was heated to 80° C. in a heating cabinet. 300 mg portions of the powder mixture were pressed with the heated tool, wherein pressure was maintained for at least 15 seconds by clamping the tableting tool in a vice.

The breaking strength of the tablets was determined with the stated apparatus in accordance with the stated method. The tablets did not break when exposed to a force of 500 N. The tablets did suffer a little plastic deformation.

In vitro release of the active ingredient was determined as in Example 1 and was:

Time	Released quantity
30 min	14%
240 min	54%
480 min	81%
720 min	99%

The tablets could be cut up with a knife into pieces of an edge length of as small as approx. 2 mm. No further comminution proceeding as far as pulverisation was possible. When the pieces are combined with water, a highly viscous gel is formed. Only with great difficulty could the gel be pressed through a 0.9 mm injection cannula. When the gel was injected into water, the gel did not spontaneously mix with water, but remained visually distinguishable.

Example 5

Raw material	Per tablet	Complete batch
Tramadol hydrochloride	50 mg	100 g
Polyethylene oxide, NF, MW 7 000 000 (Polyox WSR 303, Dow Chemicals)	90 mg	180 g
Xanthan, NF	10 mg	20 g
Total weight	300 mg	300 g

Tramadol hydrochloride, xanthan and polyethylene oxide were mixed in a free-fall mixer. A tableting tool with a top punch, bottom punch and die for oblong tablets 10 mm in length and 5 mm in width was heated to 90° C. in a heating cabinet. 150 mg portions of the powder mixture were pressed with the heated tool, wherein pressure was maintained for at least 15 seconds by clamping the tableting tool in a vice.

20

The breaking strength of the tablets was determined with the stated apparatus in accordance with the stated method. The tablets did not break when exposed to a force of 500 N. The tablets did suffer a little plastic deformation.

In vitro release of the active ingredient was determined as in Example 1 and was:

Time	Released quantity
30 min	22%
120 min	50%
240 min	80%
360 min	90%
480 min	99%

The tablets could be cut up into pieces of an edge length of as small as approx. 2 mm, but could not be pulverised. When the pieces are combined with water, a highly viscous gel is formed. Only with great difficulty could the gel be pressed through a 0.9 mm injection cannula. When the gel was injected into water, the gel did not spontaneously mix with water, but remained visually distinguishable.

Example 6

A tablet with the following composition was produced as described in Example 1:

Components	Per tablet	Per batch
Oxycodone hydrochloride	20.0 mg	0.240 g
Xanthan, NF	20.0 mg	0.240 g
Polyethylene oxide, NF, MFI (190° C. at 21.6 kg/10 min) <0.5 g	110.0 mg	1.320 g
MW 7 000 000 (Polyox WSR 303, Dow Chemicals)		
Total weight	150.0 mg	1.800 g

Release of the active ingredient was determined as follows:

In vitro release of the active ingredient from the preparation was determined in a paddle stirrer apparatus in accordance with Pharm. Eur. The temperature of the release medium was 37° C. and the rotational speed 75 rpm. The phosphate buffer, pH 6.8, described in DSP served as the release medium. The quantity of active ingredient present in the solvent at the particular time of testing was determined by spectrophotometry.

Time	Mean
0 min	0%
30 min	17%
240 min	61%
480 min	90%
720 min	101.1%

The breaking strength of the tablets was determined with the stated apparatus in accordance with the stated method. The tablets did not break when exposed to a force of 500 N.

The tablets could be cut up into pieces of an edge length of as small as approx. 2 mm, but could not be pulverised. When the pieces are combined with water, a highly viscous gel is formed. Only with great difficulty could the gel be pressed

through a 0.9 mm injection cannula. When the gel was injected into water, the gel did not spontaneously mix with water, but remained visually distinguishable.

What is claimed is:

1. An abuse-proofed, thermoformed dosage form comprising one or more active ingredients with abuse potential (A) optionally together with physiologically acceptable auxiliary substances (B), at least one synthetic or natural polymer (C), wherein the polymer (C) has a molecular weight of at least 0.5 million according to rheological measurements, and optionally at least one wax (D), wherein the dosage form exhibits a breaking strength of at least 500 N.

2. A dosage form according to claim 1, which is in the form of a tablet.

3. A dosage form according to claim 1, which is in multi-particulate form.

4. A dosage form according to claim 1, wherein the polymer (C) is at least one polymer selected from the group consisting of polyethylene oxide, polymethylene oxide, polypropylene oxide, polyethylene, polypropylene, polyvinyl chloride, polycarbonate, polystyrene, polyacrylate, copolymers and the mixtures thereof.

5. A dosage form according to claim 1, wherein the molecular weight is 1-15 million.

6. A dosage form according to claim 1, which comprises the wax (D) and the wax (D) is at least one natural, semi-synthetic or synthetic wax with a softening point of at least 60° C.

7. A dosage form according to claim 6, wherein the wax (D) is carnauba wax or beeswax.

8. A dosage form according to claim 1, wherein the active ingredient (A) is at least one active ingredient selected from the group consisting of opiates, opioids, tranquillisers, stimulants, barbiturates and further narcotics.

9. A dosage form according to claim 1, which additionally comprises at least one of the following components a)-f):

(a) at least one substance which irritates the nasal passages and/or pharynx,

(b) at least one viscosity-increasing agent, which, with the assistance of a necessary minimum quantity of an aqueous liquid, forms a gel with the extract obtained from the dosage form, which gel optionally remains visually distinguishable when introduced into a further quantity of an aqueous liquid,

(c) at least one antagonist for the active ingredient or active ingredients with abuse potential,

(d) at least one emetic,

(e) at least one dye as an aversive agent,

(f) at least one bitter substance.

10. A dosage form according to claim 9, wherein the component (a) irritant substance causes burning, itching, an urge to sneeze, increased formation of secretions or a combination of at least two of these stimuli.

11. A dosage form according to claim 10, wherein the component (a) irritant substance is based on one or more constituents of at least one hot substance drug.

12. A dosage form according to claim 11, wherein the hot substance drug is at least one drug selected from the group consisting of *Allii sativi bulb* (garlic), *Asari rhizoma cum herba* (Asarum root and leaves), *Calami rhizoma* (calamus root), *Capsici fructus* (capsicum), *Capsici fructus acer* (cayenne pepper), *Curcumae longae rhizoma* (turmeric root), *Curcumae xanthorrhizae rhizoma* (Javanese turmeric root), *Galangae rhizoma* (galangal root), *Myristicae semen* (nutmeg), *Piperis nigri fructus* (pepper), *Sinapis albae semen*

(*erucac/white mustard seed*), *Sinapis nigri semen* (black mustard seed), *Zedoariae rhizoma* (zedoary root) and *Zingiberis rhizoma* (ginger root).

13. A dosage form according to claim 11, wherein the constituent of the hot substance drug is an o-methoxy(methyl)phenol compound, an acid amide compound, a mustard oil or a sulfide compound or is derived from such a compound.

14. A dosage form according to claim 11, wherein the constituent of the hot substance drug is at least one constituent selected from the group consisting of myristicin, elemicin, isoeugenol, β -asarone, safrole, gingerols, xanthorrhizol, capsaicinoids, piperine, glucosinolates, and a compound derived from these constituents.

15. A dosage form according to claim 9, wherein component (b) is at least one viscosity-increasing agent selected from the group consisting of microcrystalline cellulose with 11 wt. % carboxymethylcellulose sodium (Avicel® RC 591), carboxymethylcellulose sodium (Blanose®, CMC-Na C300P®, Frimulsion BLC-5®, Tylose C300 P®), polyacrylic acid (Carbopol® 980 NF, Carbopol® 981), locust bean flour (Cesagum® LA-200, Cesagum® LID/150, Cesagum® LN-1), citrus pectin (Cesapectin® HM Medium Rapid Set), waxy maize starch (C*Gel 042010®), sodium alginate (Frimulsion ALG (E401)®), guar flour (Frimulsion BM®), Polygum 26/1-75®, iota carrageen (Frimulsion D021®), karaya gum, gellan gum (Kelcogel F®, Kelcogel LT100®), galactomannan (Meyprogat 150®), tara bean flour (Polygum 43/1®), propylene glycol alginate (Protanal-Ester SD-LB®), sodium hyaluronate, apple pectin, pectin from lemon peel, sodium hyaluronate, tragacanth, tara gum (Vidogum SP 200®), fermented polysaccharide welan gum (K1A96) and xanthan gum (Xantural 180®).

16. A dosage form according to claim 9, wherein component (c) is at least one opiate or opioid antagonist selected from the group consisting of naloxone, naltrexone, nalmefene, nalid, nalmexone, nalorphine, naluphine and a corresponding physiologically acceptable compound.

17. A dosage form according to claim 9, wherein component (c) is at least one neuroleptic stimulant antagonist.

18. A dosage form according to claim 9, wherein component (d) emetic is based on one or more constituents of *radix ipecacuanha* (ipecac root) and/or is apomorphine.

19. A dosage form according to claim 9, wherein component (e) is at least one physiologically acceptable dye.

20. A dosage form according to claim 9, wherein component (f) is at least one bitter substance selected from the group consisting of aromatic oils, fruit aroma substances, denatonium benzoate and mixtures thereof.

21. A dosage form according to claim 9, wherein the active ingredient or active ingredients (A) is/are spatially separated from component (c) and/or (d) and/or (f), wherein the active ingredient or active ingredients (A) is/are optionally present in at least one subunit (X) and components (c) and/or (d) and/or (f) is/are present in at least one subunit (Y), and, when the dosage form is correctly administered, components (c) and/or (d) and/or (f) from subunit (Y) do not exert their effect in the body and/or on taking.

22. A dosage form according to claim 1, which comprises at least one active ingredient at least partially in controlled release form.

23. A dosage form according to claim 22, wherein each of the active ingredients with abuse potential (A) is present in a controlled release matrix.

24. A dosage form according to claim 23, wherein component (C) and/or component (D) also serve as a controlled release matrix material.

23

25. A process for the production of a dosage form according to claim 1, comprising:

mixing components (A), (B), (C) and the optionally present component (D) and the optionally present components (a) to (f) to form a resultant mixture, and press-forming the resultant mixture, optionally after granulation, to yield the dosage form with preceding, simultaneous, or subsequent exposure to heat.

26. A process according to claim 25, wherein granulation is performed by means of a melt process.

27. A dosage form obtainable by a process according to claim 25.

28. A method of treating a therapeutic condition in a patient suffering therefrom, said method comprising administering to said patient a dosage form according to claim 1.

29. The method according to claim 28, wherein the therapeutic condition is pain.

30. A dosage form according to claim 1, wherein the polymer (C) is polyethylene oxide having a molecular weight of from 1-15 million g/mol.

24

31. A dosage form according to claim 1, wherein the one or more active ingredients with abuse potential (A) is/are selected from the group consisting of oxymorphone, oxycodone, tapentadol and the physiologically acceptable salts thereof.

32. A dosage form according to claim 31, which is in the form of a tablet.

33. A dosage form according to claim 1, wherein the content of polymer (C) is at least 30% by weight relative to the total weight of the dosage form.

34. A dosage form according to claim 1, which is in the form of a tablet, wherein the one or more active ingredients with abuse potential (A) is/are selected from the group consisting of oxymorphone, oxycodone, tapentadol and the physiologically acceptable salts thereof; wherein the polymer (C) is polyethylene oxide having a molecular weight of from 1-15 million g/mol;

and wherein the content of polymer (C) is at least 30% by weight relative to the total weight of the dosage form.

* * * * *

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 8,309,060 B2
APPLICATION NO. : 13/346257
DATED : November 13, 2012
INVENTOR(S) : Johannes Bartholomaeus et al.

Page 1 of 1

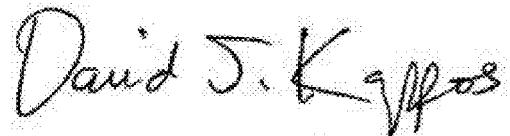
It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 19, line 59, "300 mg" should read -- 150 mg --.

Column 24, line 9, "30%" should read -- 60% --.

Column 24, line 18, "30%" should read -- 60% --.

Signed and Sealed this
Twenty-fifth Day of December, 2012

A handwritten signature in black ink that reads "David J. Kappos". The signature is written in a cursive, flowing style with a large initial "D" and a stylized "K".

David J. Kappos
Director of the United States Patent and Trademark Office